Some questions have +I and –I for different options. The intent is that you sum over all options selected by a student, but in all cases, if the sum is less than 0, then make it 0.

Final Exam	(CS	265
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67 points

Name:	KEY	

I will not use a source other than my brain on this exam:

(please sign)

(For all that follows: a key is minimal; no proper subset of a key also functional determines all attributes of a relation)

- 1. Consider the relation R with 7 attributes, R = [ABCDEF]. You are given the following functional dependencies:  $Q = \{A \rightarrow B, CD \rightarrow E, CF \rightarrow AB\}$ .
- (a) (2 pts) There is only one key for R. What is it?

CDF does not appear on RHS of any FD; must be part of any key.  $\{CDF\} \rightarrow \{CDEF\} \rightarrow \{ABCDEF\}$ 

(b) (2 pts) Give a minimal set of FDs equivalent to Q. If Q is already a minimal set, then say so.

Can LHS of any FD be simplified?

CD can't be simplified; C cannot be

inferred from D, or vice versa

CF can't be simplified; C cannot be inferred from F, or vice versa

Can B be inferred from A without  $A \rightarrow B$ ? No – keep  $A \rightarrow B$ 

Can E be inferred from CD without  $CD \rightarrow E$ ? No – keep  $CD \rightarrow E$ 

Can A be inferred from CF without  $CF \rightarrow A$ ? No – keep  $CF \rightarrow A$ 

Can B be inferred from CF without CF $\rightarrow$ B? Yes – CF $\rightarrow$ A $\rightarrow$ B,

Minimal set in green

so CF→B redundant

**2.** (2 pts) Consider the relation R with 7 attributes, R = [ABCDEF]. You are given the following functional dependencies:  $Q = \{A \rightarrow B, B \rightarrow A, C \rightarrow D, CF \rightarrow E, D \rightarrow E, E \rightarrow F\}$ . Give a minimal set of FDs equivalent to Q. If Q is already minimal, then say so.

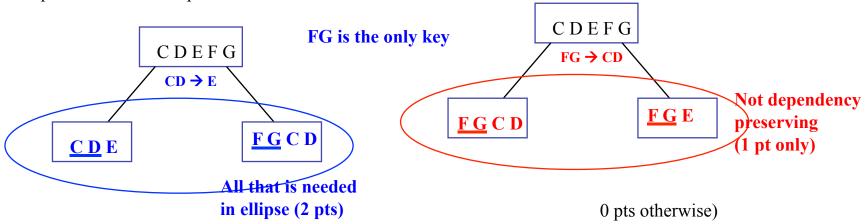
Can LHS of any FD be simplified?
CF CAN BE simplified; F can be inferred from C

No other simplifications can be made (without losing information):

Same as Q, but WITHOUT CF→E

 $C \rightarrow E$  is redundant, because  $C \rightarrow D \rightarrow E$ 

**3.** (2 pts) Consider the relation P with 5 attributes, R = [CDEFG]. You are given the following functional dependencies:  $Q = \{CD \rightarrow E, FG \rightarrow CD\}$ . Give a dependency-preserving decomposition of P where each relation of the decomposition is in BCNF. Your decomposition should have as few relations as possible, while still satisfying the specifications of the problem.



**4.** (5 pts) Consider the relational schema [ABCDEFG] with Functional Dependencies

AB→CD, D→EF,

CF**→**G,

FG**→**A

1 pt for each, -1 for any others

Give all keys for this relational schema.

```
B must be part of any key, not on rhs of any FD \{BDG\} \rightarrow \{BDEFG\} \rightarrow \{ABCDEFG\} \} \{AB\} \rightarrow \{ABCD\} \rightarrow \{ABCDEF\} \rightarrow \{ABCDEFG\} \} \{BFG\} \rightarrow \{ABCDFG\} \rightarrow \{ABCDEFG\} \} \{BCF\} \rightarrow \{BCFG\} \rightarrow \{ABCDFG\} \rightarrow \{ABCDFG\} \rightarrow \{ABCDFG\} \rightarrow \{ABCDEFG\} \} \{BCD\} \rightarrow \{BCDEFG\} \rightarrow \{ABCDEFG\} \}
```

AB, BFG, BCF, BCD, BDG

5. (2 pts) Consider the relational schema [ABCD] with FDs  $F = \{A \rightarrow B, B \rightarrow CD, CD \rightarrow A\}$ ; F is a minimal set

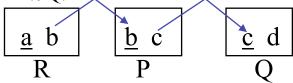
Give an ALTERNATE minimal set, G, that is equivalent to F (e.g., the same FDs follows from G as from F). Note, that F and G can share some FDs in common, but they should not be identical sets.

**6.** (1 pt) Give an SQL command that would grant a user named SMITH, SELECT and UPDATE privileges on table Products with a GRANT option.

**GRANT SELECT, UPDATE ON Products TO SMITH with GRANT option (or something close)** 

7. (1 pt) Name the ACID property that is facilitated by the SQL ROLLBACK command? \_\_\_\_\_Atomicity

**8.** A colleague brings you three table definitions, summarized by these relational schema (R, P, Q), with 'a' as a primary key for table R, 'b' the primary key for table P, and 'c' the primary key for table Q. 'b' is a foreign key from R to P, and 'c' is a foreign key from P to Q.



In addition to the table definitions, your colleague gives you this assertion, intended to enforce the FD  $Q.d \rightarrow R.a.$ 

CREATE ASSERTION AsPerD
CHECK (NOT EXISTS (SELECT \*
FROM (SELECT COUNT)

FROM (SELECT COUNT (DISTINCT R.a) AS cnt
FROM R, P, Q
WHERE R.b = P.b AND P.c = Q.c
GROUP BY Q.d, Ran) AS Temp
WHERE Temp.cnt > 1))

- (a) (2 pts) Ignoring for the moment that your colleague requires a course in DB design you recognize that the assertion is incorrect, but that you can correct it by making ONE simple STRIKETHROUGH. Put a line through that part of the assertion definition so that the corrected version properly enforces the FD,  $d \rightarrow a$
- **(b) (2 pts)** After you explain your fix, your colleague leaves, and you replace your colleague's three tables and one (corrected) assertion by ONE table definition that enforces all the constraints encoded in the original three tables and one assertion. *Give the definition for this one table as a CREATE TABLE statement.*

```
CREATE TABLE ABCD (
a, b, c, d

PK (a)
UNIQUE(b), UNIQUE(c), UNIQUE(d)

Thus, b \rightarrow a, c \rightarrow b, d \rightarrow c, a \rightarrow d, ...
```

Any of a,b,c,d could be the PK, others UNIQUE

## **9.** (4 points) Consider the following two transactions, T1 and T2:

T1: Read(A), Op<sub>11</sub>(A), Write(A), Read(B), Op<sub>12</sub>(B), Write(B), Commit

T2: Read(A), Op<sub>21</sub>(A), Write(A), Read(B), Op<sub>22</sub>(B), Write(B), Commit

+2 for one, + 3 for two, +4 for three
-1 for one incorrect circled, -2 for two,
-4 for three
0 min, 4 max

Circle all schedules (just showing disk reads and writes) that clearly result in *serializable* behavior even without knowing when the Ops are performed.

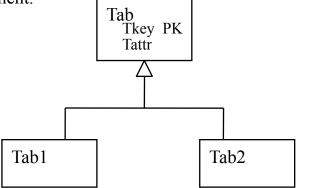
(A)		( <u>B</u> )		<u>(C)</u>		<u>(D)</u>		(E)		<u>(F)</u>	
T1	T2	T1	T2	T1	T2	T1	T2	T1	T2	T1	T2
R(A) W(A)			R(A) W(A)	R(A) W(A)			R(A) W(A)		R(A) W(A)	R(A)	R(A)
R(B)	R(A) W(A)	R(A)	R(B) W(B)		R(A) W(A) R(B)	R(A) W(A) R(B)		R(A) W(A)	R(B)	W(A)	W(A) R(B)
W(B)	R(B)	W(A) R(B)			W(B) Commit	W(B) Commit		R(B)	W(B)	R(B)	W(B)
Commit	W(B)	W(B) Commit	Commit	R(B) W(B) Commit			R(B) W(B) Commit	W(B) Commit	Commit	W(B)) Commit	Commit
	Commit										
		Ì									,

(G) NONE OF THE ABOVE

0 total for question if this circled

10. (3 pts) Circle all options that would correctly enforce the No Overlap (aka Disjoint) constraint between Tab1 and Tab2

in an SQL translation of the following UML fragment.



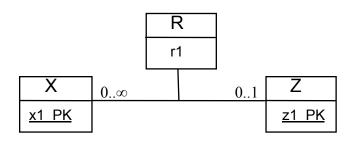
-1 for each option (a) or (c) (again, a negative score will simply be counted Zero/0);

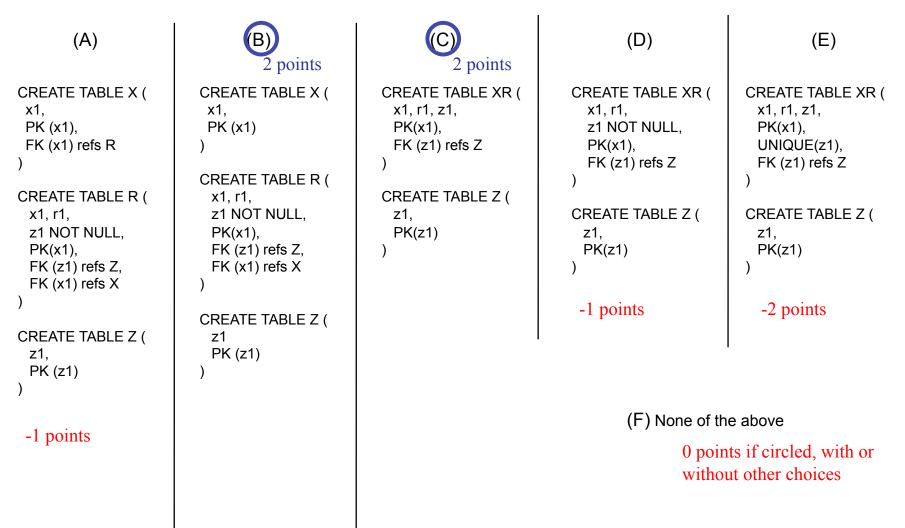
## 1 point for each

- a) CREATE ASSERTION NoOverlapBetweenTab1AndTab2 CHECK ((SELECT COUNT (Tab1.Tkey) FROM Tab1) = (SELECT COUNT (Tab2.Tkey) FROM Tab2))
- b) CREATE ASSERTION NoOverlapBetweenTab1AndTab2
  CHECK (NOT EXISTS (SELECT Tab1.Tkey FROM Tab1) INTERSECT (SELECT Tab2.Tkey FROM Tab2))
- c) CREATE ASSERTION NoOverlapBetweenTab1AndTab2 CHECK (EXISTS (SELECT \* FROM Tab1 T1, Tab2 T2 WHERE T1.Tkey = T2.Tkey))
- d) CREATE ASSERTION NoOverlapBetweenTab1AndTab2 CHECK (NOT EXISTS (SELECT \* FROM Tab1 T1, Tab2 T2 WHERE T1.Tkey = T2.Tkey))
- e) CREATE ASSERTION NoOverlapBetweenTab1AndTab2
  CHECK (NOT EXISTS (SELECT Tab1.Tkey FROM Tab1
  WHERE Tab1.Tkey IN (SELECT Tab2.Tkey FROM Tab2)
  UNION
  SELECT Tab2.Tkey FROM Tab2
  WHERE Tab2.Tkey IN (SELECT Tab1.Tkey FROM Tab1)))

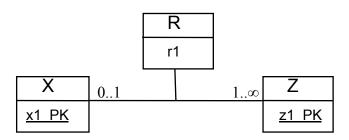
f) None of the above 0 points if this is circled, with or without other choices

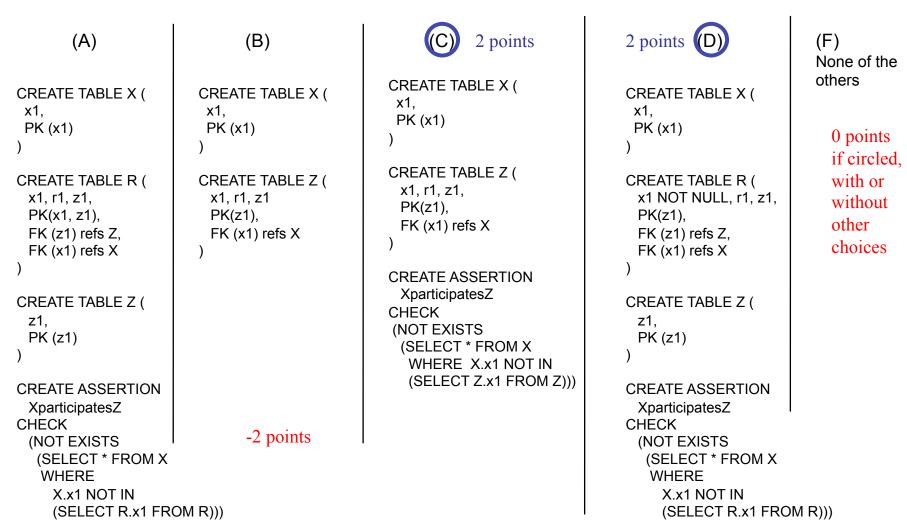
11. (4 pts) Consider the UML fragment to the right and identify (circle) <u>all</u> equivalent table translations (i.e., those translations that faithfully enforce the constraints implied by the UML without regard to elegance) from those given below. You might receive partial credit for a brief explanation of your choices. UNIQUE(y) implies that y NOT NULL, but not vice versa. PK stands for PRIMARY KEY. FK stands for FOREIGN KEY.





**12. (4 pts)** Consider the UML fragment to the right and identify (circle) <u>all</u> equivalent table translations (i.e., those translations that faithfully enforce the constraints implied by the UML without regard to elegance) from those given below. You might receive partial credit for a brief explanation of your choices. UNIQUE(y) implies that y NOT NULL, but not vice versa. PK stands for PRIMARY KEY. FK stands for FOREIGN KEY.





-2 points

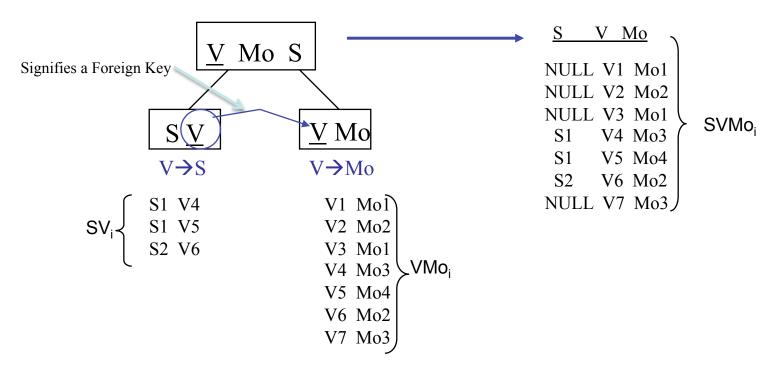
**13. (4 pts)** Consider the following table definitions: CREATE TABLE RelA (Akey integer, a1 integer, a2 integer, a3 integer, PRIMARY KEY (Akey)) CREATE TABLE RelB (Bkey1 integer, Bkey2 integer, b1 integer, PRIMARY KEY (Bkey1, Bkey2), FOREIGN KEY (Bkey1) REFERENCES RelA (Akey)) Circle all queries below that are equivalent to the query: SELECT A.a2, A.a3 FROM RelAA WHERE A.Akey IN (SELECT B.Bkey1 FROM RelB B WHERE A.a2 = B.Bkey2 AND A.a1 = B.b1) (a) SELECT A.a2, A.a3 1 pt 3 pts (b) SELECT A.a2, A.a3 FROM RelA A FROM RelA A, RelB B WHERE EXISTS (SELECT \* WHERE A.Akey = B.Bkey1 AND A.a1 = B.b1 AND A.a2 = B.Bkey2 FROM RelB B WHERE A.Akey = B.Bkey1AND A.a1 = B.b1AND A.a2 = B.Bkey2(d) SELECT Temp.c2. Temp.avc3 SELECT C c2 AVG (C c3) AS avc3 COUNT (\*) AS c2count FROM RelC C FROM RolC C GROUP BY C c2 HAVING COUNT(\*) > 1 AND C WHERE Temp.c2count > 1 - 2pts (e) SELECT Temp.t1, Temp.t2 (f) None of the others FROM (SELECT Bkey1 AS t1, a3 AS t2 0 total FROM RelA, RelB WHERE Akey = Bkey1 AND a1 = b1If this had been Bkey2 then this would be correct; AND a2 = Bkey2) I didn't take off if someone did mark it, as it was at AS Temp

a level of detail that I didn't intend to test

```
CREATE TABLE RelA (Aid integer, a1 integer, a2 integer, PRIMARY KEY (Aid))
          CREATE TABLE RelB (Aid integer, Cid integer, b1 integer,
                                     PRIMARY KEY (Aid, Cid, b1).
                                     FOREIGN KEY (Aid) REFERENCES RelA,
                                     FOREIGN KEY (Cid) REFERENCES RelC)
          CREATE TABLE RelC (Cid integer, c1 integer, c2 integer, c3 integer, PRIMARY KEY (Cid))
  Circle <u>all</u> queries below that are <u>equivalent</u> to: \pi_{c1} (( Temp1 \cap Temp2 ) \bowtie RelC)
                                              where Temp1 = \pi_{Cid} ((\sigma_{a2=g} RelA) \bowtie RelB) and
                                                        Temp2 = \pi_{Cid} ((\sigma_{a2=r} RelA) \bowtie RelB)
                                               \RightarrowBy equivalent, we mean produces the same output given the same input (and we are not referring
 Total cannot exceed 5 and cannot
                                                to efficiency or elegance)
 be less than 0
                                                                                                                            -1 pt
(a) SELECT DISTINCT C.c1
                                                                      (b) ) SELECT DISTINCT C.c1
   FROM RelC C, RelB B1, RelA A1, RelB B2, RelA A2
                                                                          FROM RelC C, RelB B, RelA A
    WHERE C.Cid = B1.Cid AND B1.Aid = A1.Aid AND
                                                                          WHERE C.Cid = B.Cid AND B.Aid = A.Aid AND A.a2 = q
            C.Cid = B2.Cid AND B2.Aid = A2.Aid AND
                                                                         INTERSECT
            A1.a2 = q AND A2.a2 = r
                                                                          SELECT DISTINCT C2.c1
                                                                          FROM RelC C2, RelB B2, RelA A2
                                                +1 pt
                                                                          WHERE C2.Cid = B2.Cid AND B2.Aid = A2.Aid AND A2.a2 = r
 c) SELECT DISTINCT C.c1
                                                                         SELECT DISTINCT C.c1
                                                                                                                   +1 pts
                                                     +1 pts
    FROM ReIA A, ReIB B, ReIC C
                                                                          FROM ReIC C
    WHERE C.Cid = B.Cid AND B.Aid = A.Aid AND A.a2 = q AND
                                                                          WHERE C.Cid IN (( SELECT B.Cid
            C.Cid IN (SELECT C2.Cid
                                                                                            FROM RelA A, RelB B
                     FROM ReIC C2. ReIA A2. ReIB B2
                                                                                            WHERE B.Aid = A.Aid AND A.a2 = q)
                      WHERE C2.Cid = B2.Cid AND
                                                                                           INTERSECT
                              B2.Aid = A2.Aid AND A2.a2 = r)
                                                                                          (( SELECT B2.Cid
                                                                                            FROM RelA A2, RelB B2
                                                                                            WHERE B2.Aid = A2.Aid AND A2.a2 = r))
                                              -2 pt
(e) \pi_{c1} (Temp1 \bowtieRelB \bowtieRelC)
                                                                                                      0 pts total
                                                                         None of the above
     where Temp1 = (\sigma_{a2=0} \text{ RelA}) \cap (\sigma_{a2=0} \text{ RelA})
```

**14.** (3 pts) Consider the following table definitions:

15. (3 points) Consider the two relational schema, SV and VMo, with specific instances, SV<sub>i</sub> and VMo<sub>i</sub>:



FOR THE EXAMPLE ABOVE, circle all variations on the outer join that would produce SVMo<sub>i</sub>.

+1 for each,

- (a) SV NATURAL RIGHT OUTER JOIN Vmo
- (b) VMo NATURAL LEFT OUTER JOIN SV
- (c) VMo NATURAL RIGHT OUTER JOIN SV

(d) SV NATURAL LEFT OUTER JOIN Vmo

- (e) SV NATURAL FULL OUTER JOIN Vmo
- (f) None of the above 0 total

-1.5 for each

### **16. (2 points)** Consider the following relational schemas.

Customers ( SSN: integer, name: string, address: string, city: string)

Accounts (SSN: integer, <u>AccntNo: integer</u>, <u>balance</u>: real)

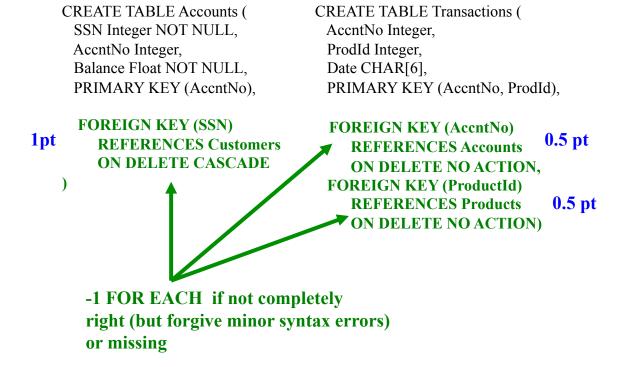
Transactions (<u>AccntNo: integer, ProductId: integer, date: string</u>)

Products ( <u>ProductId</u>: integer, <u>ProductName</u>: string, <u>cost</u>: integer)

Consider the CREATE TABLE statements that implement the relations to the left. Complete these definitions so that if a *Customer* is deleted, all the *Customer*'s *Accounts* are deleted (i.e., all *Accounts* with an SSN that matches the deleted *Customer*'s SSN), unless one or more of the *Customer*'s *Accounts* participates in any *Transaction* (as identified by *AccntNo*), in which case the attempt to delete the *Customer* is blocked. Also, the definitions should insure that a *product* can only be deleted if it does not participate in any transaction (as identified by *ProdId in* Transactions). While certain default settings might have otherwise applied in answering this problem, I want you to ignore defaults and make explicit additions to the appropriate definitions.

```
CREATE TABLE Customers (
SSN Integer,
Name CHAR[25] NOT NULL,
Address CHAR[25] NOT NULL,
City CHAR[25] NOT NULL,
PRIMARY KEY (SSN)
```

CREATE TABLE Products (
ProductID Integer,
ProductName CHAR[15],
Cost Integer,
PRIMARY KEY (ProductId)



17. Using the Products and Transactions definitions of the previous page, consider the following statement

INSERT INTO Products (ProductID)
SELECT DISTINCT ProdId
FROM Transactions
WHERE ProdId NOT IN (SELECT ProductID FROM Products)

You can disregard any angst you might have concerning Foreign Key constraints having to be checked, and when that would happen.

(a) (3 pts) List the authorizations that the executer of this insertion statement must have in order to successfully execute the statement. Separately number each authorization, and give the minimally-scoped authorizations (a minimally scoped authorization is one that allows the process to succeed, but grants no additional rights that are not required by the process).

INSERT(Products.ProductID), SELECT(Transactions.ProdId), SELECT(Products.ProductID)

**(b) (1 point)** Explain why the following statement would NOT be legal, even with proper authorizations, given the definitions of the previous page

The PK for Transactions includes AccntNo,

INSERT INTO Transactions (ProdId)

SELECT DISTINCT ProductID

FROM Products

WHERE ProductID NOT IN (SELECT ProdId FROM Transactions)

which can therefore not be NULL, but this INSERT statement would attempt to set AccntNo to NULL

#### 18. (3 pts) Using the definitions of two pages ago, and repeated here

Accounts (SSN: integer, <u>AccntNo: integer</u>, <u>balance</u>: real)

Transactions (<u>AccntNo: integer, ProductId: integer, date: string, bill: real</u>)

Write a row-level trigger that increments the appropriate (defined by matching AccntNo) balance in the Accounts table by the bill value of a newly inserted transaction to the Transactions table.

CREATE TRIGGER UpdateAccountBalance
AFTER INSERT ON Transactions // Complete the Trigger definition

CREATE TRIGGER

AFTER INSERT ON Transactions

REFERENCING

NEW ROW AS NewRow

FOR EACH ROW

UPDATE Accounts

SET balance = balance + NewRow.bill

WHERE Accounts.AccntNo = NewRow.AccntNo;

CREATE TRIGGER

AFTER INSERT ON Transactions

REFERENCING

NEW ROW AS NewRow

NEW TABLE AS NewTable

FOR EACH ROW

UPDATE Accounts A

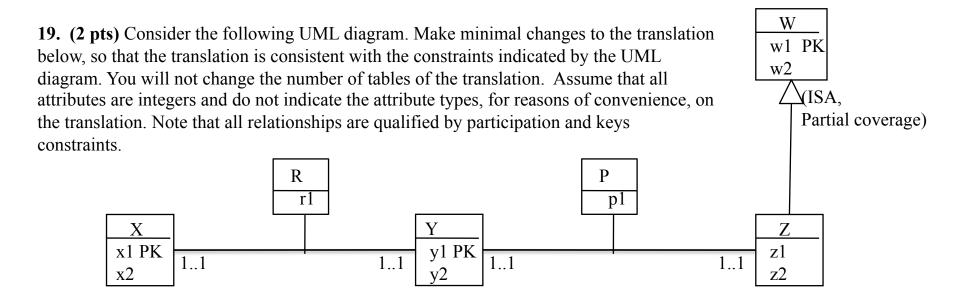
SET balance = (SELECT SUM(bill)

FROM Transactions T

WHERE T.AccntNo = A.AccntNo)

WHERE A.AccntNo = NewRow.AccntNo;

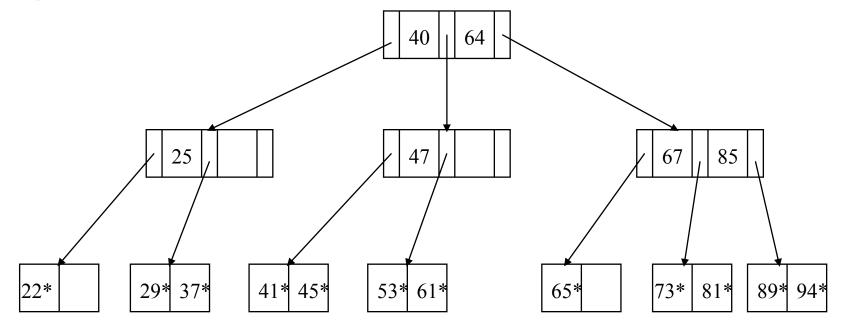
Accepting of close (incorrect) syntactic variants



Make minimal changes to this two-table translation so that it is consistent with the UML diagram.

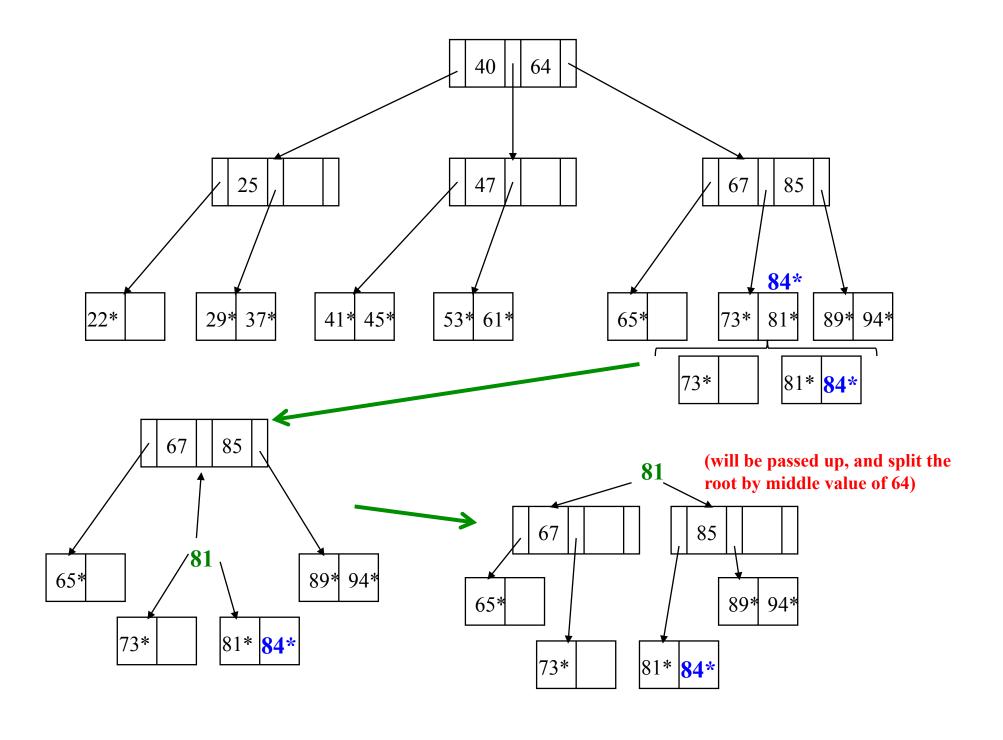
```
CREATE TABLE W (
                     CREATE TABLE XRYPZ (
                                                   Add UNIQUE(W1), UNIQUE(Y1) to table XRYPZ
 W1,
                      W1 NOT NULL,
                                                   (1 pts for one, 2 points for two)
 W2,
                      Z1,
 PRIMARY KEY (W1)
                      Z2
                      P1,
                      Y1 NOT NULL,
                      Y2,
                                                           You might have seen the relevance of
                      R1,
                                                           this to question 8b, and vice versa.
                      X1,
                      X2,
                      PRIMARY KEY (X1),
                      FOREIGN KEY (W1) REFERENCES W
```

#### **20.** (3 pts) Consider the B+ tree below.

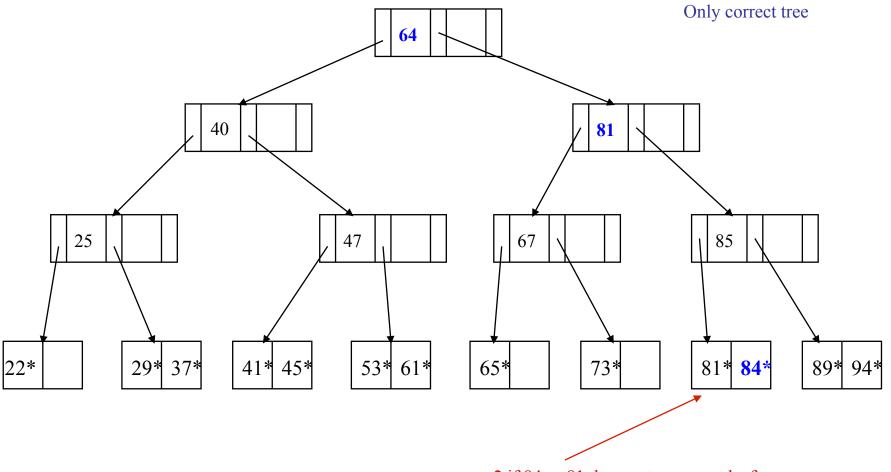


Note that this tree does not show data nodes, and you do not need to see the data nodes to answer this question. At each leaf, N\* is an index of the form <N, <page id, slot #>>, where N is the value of the search key.

Show the tree that results from inserting a record with search key **84** (do **not** use redistribution). If you can do so clearly and unambiguously, then you can circle and label subtrees in this diagram that do not change and use those labels in your answer on the next page.



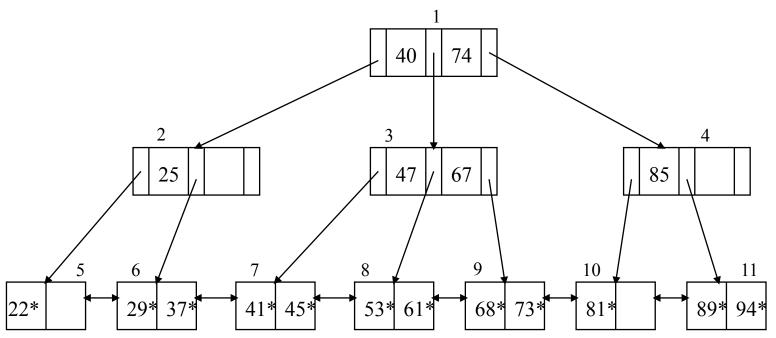
# Write resulting B+ tree for (b) here



-2 if 84 or 81 does not appear at leaf

-2 for any tree that isn't 4 levels. Use discretion on partial credit.

**21. (4 pts)** Consider the B+ tree index for attribute <u>A</u> of table T. Above each node is a numeric label for the node (1 through 11), which you will use in answering this question.



For each of the following operations, list the *nodes* (by label), in proper order, that would be locked (shared or exclusive) in strict two-phase locking (2PL) when performing the respective operation. If no nodes need be locked, then write *None*. Ignore data nodes, which are not shown, and do not list new nodes that might be introduced. Assume that this index for attribute A is used in evaluating each operation below. Write S(label) for a shared lock, and X(label) for an exclusive lock. Note that the same node, A, may be listed twice, first as S(A) then as X(A), for the same operation. Do not show order of lock release (we'll assume all locks released at end of operation, upon commit). Treat each operation as independent, and not as a sequence of actions. Assume that redistribution is never used.

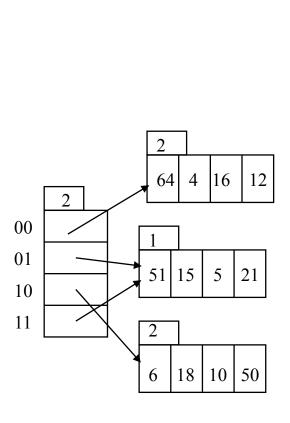
(a) SELECT T.A FROM T WHERE T.A > 75 : S(1), S(4), S(10), S(11)

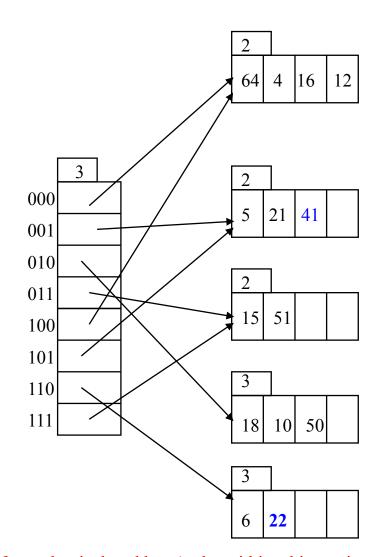
(b) UPDATE T SET T.B = T.B + 100 WHERE T.A = 37 : S(1), S(2), S(6) In most cases, probably full credit for selected variations,

(c) UPDATE T SET T.A = T.A + 5 WHERE T.A = 29 : S(1), S(2), S(6), X(6) notably skipping over S(k) straight to X(k)

(d) INSERT INTO T (A, B, C) VALUES (70, 20, 10) : S(1), S(3), S(9), X(9), X(3), X(1) subtle: X(8) and/or X(10) too so as to update ptr to 9

**22.** (3 pts) Consider the extendible hash table to the left. Assume Hash(x) = x. Show the result of inserting the following keys in order: 41, 22





-1 for each misplaced key (order within a bin not important)

Answer here

