

# Image-based Inverse Characterization of In-situ Microscopic Composite Properties

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## Abstract

An inverse characterization approach to identify the in-situ elastic properties of composite constituent materials is developed. The approach relies on displacement measurements available from image-based measurement techniques such as digital image correlation and template matching. An optimization problem is formulated, where the parameters of an assumed functional form describing spatially variable material properties are obtained by minimizing the discrepancies between noisy displacement measurements and the corresponding simulated values. The proposed formulation is analyzed from a statistical inference theory standpoint. It is shown that the approach exhibits estimation consistency, i.e. given noisy input data the identified material properties converge to the true material properties as the number of available measurements increases. The performance of the proposed approach is evaluated by a series of virtual characterizations that mimic physical characterization tests in which fiber centroid displacements are obtained through fiber template matching. The virtual characterizations demonstrate that the effect of measurement noise in identifying the in-situ constituent properties can be mitigated by selecting a sufficiently large measurement dataset. The numerical studies also show that, given a rich measurement dataset, the proposed approach is able to describe increasingly complex spatial variation of properties.

**Keywords:** Inverse characterization, In-situ property, Composite, Spatial variability, Noise effect, Statistical consistency, Template matching.

## 1 Introduction

Micromechanical analysis and multiscale modeling of composite materials have received substantial attention because of their potential to describe the fundamental mechanisms of mechanical and failure response [41, 44, 46], including the effect

of material variability and associated uncertainty across length scales [5, 6, 38], and inform models that aim to predict response and failure of composite structures [32, 40]. The combined fundamental mechanistic understanding and predictive modeling capability have the potential to

13 help improve composite materials and designs. 64  
14 Microstructural analysis and multiscale modeling 65  
15 techniques for composites rely on the availabil- 66  
16 ity of (a) morphological information on material 67  
17 microstructure; and (b) properties of the con- 68  
18 stituent materials as they pertain to the mechani- 69  
19 cal regime of interest (i.e., elastic moduli, thermal 70  
20 conductivity, strength, toughness, etc.). The effect 71  
21 of microstructural morphology on the mechanical 72  
22 behavior of composites has been subject to previ- 73  
23 ous studies (e.g. [37]). In these studies, the effect of 74  
24 the microstructural morphology is typically investi- 75  
25 gated using digital numerical models constructed 76  
26 based directly on micrographs of the material (dig- 77  
27 ital twins) or indirectly based on a statistical 78  
28 description of the microstructure. Careful char- 79  
29 acterization of the properties of the constituents 80  
30 and their spatial variation across the composite 81  
31 volume at the microscale, on the other hand, has 82  
32 received relatively less attention. The spatial vari- 83  
33 ability of the constituent properties can be due 84  
34 to chemical interactions between bonding agents 85  
35 and composite constituents [19, 25]; non-uniform 86  
36 cross-linking that results from variations in cur- 87  
37 ing temperature and polymerization [1, 34], and 88  
38 others. 89

39 The properties of the constituents used in 90  
40 micromechanical or multiscale analysis are typi- 91  
41 cally identified by a combination of (1) inverse 92  
42 calibration informed by experiments at a larger 93  
43 scale [3, 4, 12, 13, 30, 31]; and (2) ex-situ exper- 94  
44 iments that isolate a specific property (e.g., fiber 95  
45 pullout for shear dominated interface failure [53] 96  
46 and fiber tensile testing [43]). In certain cases, 97  
47 molecular dynamics have also been employed to 98  
48 estimate constituent properties of some materi- 99  
49 als [18, 41]. A number of complicating factors 100  
50 hinder the characterization of the properties of 101  
51 the constituents. The composite constituents can 102  
52 exhibit significant differences in their in-situ and 103  
53 ex-situ properties [19, 21, 33], therefore relying 104  
54 purely on ex-situ experimentation to characterize 105  
55 all properties may lead to inaccurate predictions. 106  
56 The measured in-situ modulus of a composite resin 107  
57 has been shown to differ by as much as 30% when 108  
58 compared to the ex-situ (neat polymer) deter- 109  
59 mined value [19]. Such a difference would result 110  
60 in a proportional difference in stress and hence 111  
61 damage onset prediction. Besides, inverse calibra- 112  
62 tion with experiments at larger scale often results  
63 in non-unique material properties, contributing to

prediction uncertainty. Furthermore, all experi- 64  
ments exhibit a certain amount of measurement 65  
noise that could lead to erroneous properties, the 66  
magnitude of which is seldom quantified. 67

Characterization of the properties of the 68  
constituents based on in-situ experiments at 69  
the microscale offers an alternative approach. 70  
Nanoindentation testing probes the substrate of 71  
individual constituents within a small localized 72  
region and has been employed to investigate the 73  
in-situ properties of composite materials including 74  
the Young’s modulus [19, 21], plasticity param- 75  
eters [35], and viscoplasticity parameters [33]. 76  
The spatial variation of resin Young’s modulus 77  
has also been observed at fiber-resin interphase 78  
regions (e.g. [24, 25] in polymer matrix com- 79  
posite). Hardiman et al [21] observed that the 80  
variation in Young’s modulus is related to the size 81  
of resin pockets in a carbon fiber reinforced poly- 82  
mer (CFRP). Measuring resin properties using 83  
nanoindentation requires a strategy to account 84  
for the effect of fiber constraints [21, 22]. The 85  
presence of fibers and their possible contact with 86  
the indenter tip can lead common indentation 87  
calibration methods (e.g. continuous stiffness mea- 88  
surement technique) to overestimate the resin 89  
properties [20]. 90

Image-based measurement techniques, such as 91  
digital image correlation (DIC) [9, 10, 28, 42], 92  
digital volume correlation (DVC, i.e., 3D exten- 93  
sion of DIC) [29], and fiber template match- 94  
ing (FTM) [11] have been applied to measure 95  
deformations and strains complementing and/or 96  
replacing more traditional methods such as strain 97  
gauges. Combining high-magnification microscopy 98  
and high-resolution digital imaging, microscale 99  
image-based methods have been used to measure 100  
displacements and strain fields at the microscale in 101  
composite materials with or without the presence 102  
of failure [9, 10, 28, 42]. In [19, 21], signifi- 103  
cant discrepancies were found when correlating 104  
displacements measured at the microscale to sim- 105  
ulations performed using ex-situ properties of the 106  
bulk material. This result aligns with the findings 107  
from the nanoindentation studies discussed previ- 108  
ously, and with the notion that in-situ properties 109  
may differ from their ex-situ counterparts. 110

The key novelty of this study is the proposal 111  
and study of a statistically consistent framework 112

113 to obtain in-situ, spatially variable, elastic prop- 164  
 114 erties of composite materials using noisy image- 165  
 115 based displacement measurements obtained at the 166  
 116 microscale. While inverse estimation approaches 167  
 117 have been previously explored and investigated 168  
 118 to characterize the in-situ material properties 169  
 119 for the applications, such as structural health 170  
 120 monitoring (e.g. [47, 49]) and soft tissue elas- 171  
 121 tography(e.g. [16, 51]), this study focuses on the 172  
 122 inverse characterization of in-situ elastic prop- 173  
 123 erties of composite constituent materials based 174  
 124 on microscopic displacement measurements. The 175  
 125 characterization follows an optimization proced- 176  
 126 ure, in which the discrepancy between observed 177  
 127 and simulated microscale displacements are min- 178  
 128 imized to arrive at the properties of the con- 179  
 129 stituents. As evidenced by nano-indentation tests, 180  
 130 the resin properties can exhibit spatial variability 181  
 131 that may be described by functional forms [21]. 182  
 132 In the present work, the parameters of these func- 183  
 133 tional forms are cast as the parameters to be 184  
 134 identified through the optimization procedure. 185

135 A central aspect of this study is assessing the 186  
 136 accuracy of the approach when using noisy input 187  
 137 data, which can corrupt the parameter identifi- 188  
 138 cation process [47]. Bayesian inference has been 189  
 139 used in the literature to manage the effect of 190  
 140 measurement noise on the parameter identifica- 191  
 141 tion by quantifying uncertainty in the identified 192  
 142 parameters. Uncertainty in the parameter iden- 193  
 143 tification process can be quantified by obtaining 194  
 144 a stable posterior distribution of the model pre- 195  
 145 diction through a Markov sampling approach. 196  
 146 Instead of Bayesian approach, as a first step and 197  
 147 in order to avoid the computational cost of Monte 198  
 148 Carlo-based sampling methods, the present study 199  
 149 uses statistical inference theory [2, 23] to study 200  
 150 and quantify the effect of measurement noise. 201  
 151 Using statistical inference arguments [2, 23], the 202  
 152 approach is shown to exhibit estimation consis- 203  
 153 tency. Estimation consistency is defined as the 204  
 154 convergence of the parameters identified by the 205  
 155 approach to the true parameters with an increase 206  
 156 in the number of measurements used. The effec- 207  
 157 tiveness of the proposed characterization method 208  
 158 is evaluated by a series of virtual characteriza- 209  
 159 tions of the properties of the constituents of a 210  
 160 microscopic continuous fiber-reinforced composite 211  
 161 specimen. The input displacement measurements 212  
 162 used in the virtual characterizations, referred to 213  
 163 as synthetic experimental data, are extracted

164 from the fiber centroids of numerical simulations, 165  
 166 performed with assumed constituent properties. 167  
 168 These synthetic input datasets aim to mimic the 169  
 169 datasets obtained using FTM. The effect of noise 170  
 170 is studied by adding different levels of random 171  
 171 noise to the noise free synthetic experimental 172  
 172 data and comparing the identified properties to 173  
 173 the properties assumed in the numerical simula- 174  
 174 tions. The virtual characterizations demonstrate 175  
 175 the effect of measurement noise on the fidelity of 176  
 176 identified properties. Conditions that reduce the 177  
 177 effect of noise on the accuracy of the identified 178  
 178 properties are studied. 179

180 The remainder of this manuscript is organized 181  
 181 as follows: in Section 2, the problem statement 182  
 182 and the elements of the inverse identification 183  
 183 approach are presented followed by a discussion 184  
 184 of the conditions required for the estimation con- 185  
 185 sistency of the approach. In Section 3, the results 186  
 186 of several virtual characterizations are reported, 187  
 187 documenting the accuracy of the proposed method 188  
 188 and assessing the effects of measurement noise 189  
 189 level and dataset size. A summary of the work 190  
 190 performed and key conclusions are provided in 191  
 191 Section 4. Appendix A discusses the conditions 192  
 192 of objective function minimization with noise. 193  
 193 Appendix B demonstrates the strict convexity of 194  
 194 the forward problem as a requisite of statisti- 195  
 195 cal consistency. Appendix C provides an analysis 196  
 196 of a one-dimensional composite specimen, which 197  
 197 is used to discuss the identifiability parameters 198  
 198 based on a set of discrete displacement measure- 199  
 199 ments. 200

## 201 Inverse characterization 202 203 methodology 204

### 205 2.1 Problem statement 206

207 Consider a long fiber-reinforced composite speci- 208  
 208 men at the mesoscale with the domain,  $\Omega$ , param- 209  
 209 eterized by the position coordinate vector,  $\mathbf{y}$ . The 210  
 210 specimen is subjected to loading,  $F(\mathbf{y})$ , applied 211  
 211 in the transverse plane (i.e.  $y_1 - y_2$  plane shown in 212  
 212 Fig. 1). The domain includes  $n_f$  randomly posi- 213  
 213 tioned fibers. The elastic properties of the fibers 214  
 214 have been typically assumed to be unaffected by 215  
 215 curing in polymer matrix composites (PMCs), 216  
 216 e.g. [17]. Therefore, in the present study, the elas- 217  
 217 tic properties of each fiber are taken to be spatially 218  
 218 constant, and fiber-to-fiber property variability is 219

212 assumed to be negligible. This study focuses on  
 213 the characterization of the resin, since its proper-  
 214 ties are known to vary spatially in-situ [11] and are  
 215 a function of the curing conditions [17]. The elastic  
 216 properties of the resin are taken to exhibit deter-  
 217 ministic spatial variability dictated by the manu-  
 218 facturing processes. Potential stochastic variabil-  
 219 ity in the material properties is considered to be  
 220 small relative to deterministic variability. Under  
 221 the action of mechanical loading, the compos-  
 222 ite specimen deforms elastically. A discrete set of  
 223 displacement measurements are collected on the  
 224 specimen surface,  $\Gamma$ , which is parallel to the trans-  
 225 verse plane:  $\mathbf{u}^{\text{mes}} = \{\mathbf{u}_i^{\text{mes}}\}$ , with  $i = 1, 2 \dots n$ ,  
 226 where  $n$  denotes the total number of available dis-  
 227 placement observations. Each displacement mea-  
 228 surement,  $\mathbf{u}_i^{\text{mes}}$ , could be the displacement vector  
 229 (i.e.,  $\mathbf{u}_i^{\text{mes}} = \{u_{y_1 i}^{\text{mes}}, u_{y_2 i}^{\text{mes}}\}^T$ ) at a discrete spatial  
 230 position in the specimen or a generalized displace-  
 231 ment (e.g.,  $\mathbf{u}_i^{\text{mes}} = \int_{\Gamma} \nu_i(\mathbf{y}) \mathbf{U}_i^{\text{mes}}(\mathbf{y}) d\Gamma$ , where  $\nu_i$  is  
 232 a weight function). Each measurement data point  
 233 is considered to be noisy due to inaccuracies in  
 234 the measurement system. We can further general-  
 235 ize experimental data to be a set of observations  
 236 from  $n_{\text{exp}}$  experiments. All  $n_{\text{exp}}$  experiments could  
 237 be performed on the same specimen (e.g., load-  
 238 unload-reload cycles with each load-up resulting  
 239 in a different dataset due to a different load ampli-  
 240 tude applied in each cycle or to measurement  
 241 noise, see Section 2.2); each experiment per-  
 242 formed on a different specimen; or a combination  
 243 thereof. Based on the aforementioned problem  
 244 description, we seek to estimate the spatially vari-  
 245 able elastic properties of the material constituents  
 246 based on the displacement information.

247 Figure 1 schematically depicts the estima-  
 248 tion approach, where material property estimation  
 249 is posed as an optimization problem. In order  
 250 to operate in a finite dimensional setting, the  
 251 spatially varying elastic properties are expressed  
 252 using a function  $\mathbf{g}(\mathbf{y}; \boldsymbol{\theta})$ ,  $\mathbf{y} \in \Omega$ , where  $\boldsymbol{\theta} \in \Theta$  is  
 253 a vector of parameters. The ‘‘true’’ set of material  
 254 properties that we seek to identify is denoted as  
 255  $\hat{\boldsymbol{\theta}} \in \Theta$ . The displacement measurements,  $\mathbf{u}^{\text{mes}}$ , are  
 256 the input to the optimization procedure. Numer-  
 257 ical simulation of the mechanical response of  
 258 the specimen constitutes the ‘‘forward problem’’.  
 259 The optimization procedure iteratively adjusts the  
 260 constitutive parameter vector,  $\boldsymbol{\theta}$ , until the dis-  
 261 crepancy between the computationally obtained

262 displacement measures,  $\mathbf{u}^{\text{sim}} = \{\mathbf{u}_i^{\text{sim}}\}$  with  $i =$   
 263  $1, 2 \dots n$ , and the experimental observations is  
 264 minimized. The prediction error,  $\mathcal{L}_n$  (also referred  
 265 to as the objective function, cost or risk function),  
 266 adopts the form of normalized mean square error  
 267 (NMSE):

$$\mathcal{L}_n(\boldsymbol{\theta}) = \frac{\sum_{i=1}^n \|\mathbf{u}_i^{\text{mes}} - \mathbf{u}_i^{\text{sim}}(\boldsymbol{\theta})\|^2}{\sum_{i=1}^n \|\mathbf{u}_i^{\text{mes}}\|^2} \quad (1)$$

268 where  $\|\cdot\|$  stands for the  $l^2$ -norm and  $\mathbf{u}_i^{\text{sim}}$   
 269 is obtained from the forward problem, which  
 270 minimizes the potential energy  $\Pi_p$  with model  
 271 parameters,  $\boldsymbol{\theta}$ , and load,  $\mathbf{F}$ , as the inputs:

$$\mathbf{U}^{\text{sim}} = \arg \min_{\hat{\mathbf{U}}^{\text{sim}}} \Pi_p(\hat{\mathbf{U}}^{\text{sim}}; \boldsymbol{\theta}, \mathbf{F}) \quad (2)$$

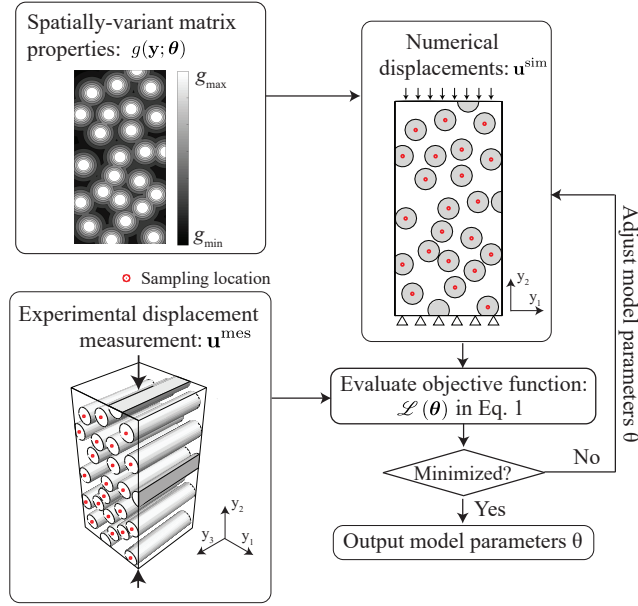
272 where  $\hat{\mathbf{U}}^{\text{sim}}$  represents any kinematically admis-  
 273 sible displacement field. The simulated displace-  
 274 ment field,  $\mathbf{U}^{\text{sim}}$ , is then sampled to obtain  $\mathbf{u}^{\text{sim}}$   
 275 for discrete values that correspond to measured  
 276 data. The model estimate,  $\hat{\boldsymbol{\theta}}_n$ , is obtained from  
 277 Eq. 1 as:

$$\hat{\boldsymbol{\theta}}_n = \arg \min_{\boldsymbol{\theta}} \mathcal{L}_n(\boldsymbol{\theta}) \quad (3)$$

278 In Section 2.2, the conditions in which one  
 279 can guarantee that there is a unique model esti-  
 280 mate that satisfies Eq. 3 and converges to the  
 281 true model parameters are discussed. Particular  
 282 attention is given to the effect of measurement  
 283 noise.

## 2.2 Optimization with noisy data 284

285 The accuracy of the solution to the optimization  
 286 problem (Eq. 3) depends on the following factors:  
 287 (1) the measurement noise level, indicated by the  
 288 standard deviation; (2) the amount of experimen-  
 289 tal observations,  $n$ ; and (3) the inference of the  
 290 material model, such as assumption of the func-  
 291 tional form describing the spatial variability of the  
 292 properties,  $\mathbf{g}(\mathbf{y}; \boldsymbol{\theta})$ . In what follows, we focus on  
 293 the effect of measurement noise and assume that  
 294 the model error is insignificant compared with  
 295 the measurement noise. In the statistical infer-  
 296 ence theory [23], the proposed objective function  
 297 leads to estimates of unknown parameters,  $\hat{\boldsymbol{\theta}}_n$ ,  
 298 that asymptotically converge to the true values,  $\hat{\boldsymbol{\theta}}$ ,  
 299 with an increasing amount of measurement data.  
 300 Following the nomenclature proposed in [2], the



**Fig. 1:** Schematic illustration of characterization for in-situ microscopic epoxy resin properties using optimization approach.

301 risk consistency of the model estimate is defined  
 302 as the convergence of objective function with  $\hat{\theta}_n$   
 303 to the minimum value in probability:

$$\mathcal{L}(\hat{\theta}_n) \xrightarrow{p} \min \{ \mathcal{L}(\theta) | \theta \in \Theta \} \quad (4)$$

304 with  $\mathcal{L}$  indicating the objective function  
 305 described by the expectation,  $\mathbb{E}$ , of the continuous  
 306 displacement field:

$$\mathcal{L}(\theta) = \frac{\mathbb{E} \left[ \| \mathbf{U}(\mathbf{y}) + \zeta(\mathbf{y}) - \mathbf{U}^{\text{sim}}(\mathbf{y}; \hat{\theta}) \|^2 \right]}{\mathbb{E} \left[ \| \mathbf{U}(\mathbf{y}) + \zeta(\mathbf{y}) \|^2 \right]} \quad (5)$$

307 where  $\mathbf{U}(\mathbf{y})$  denotes the true displacement field  
 308 and  $\zeta$  is a Gaussian random field associated  
 309 with the measurement noise.  $\mathbf{U}(\mathbf{y})$  is not directly  
 310 accessible since any attempt of measurement will  
 311 include a measure of noise captured by  $\zeta$ . Since  
 312 the model error is assumed to be negligible, the  
 313 simulation predicts the true displacement field  
 314 when the true set of parameter values are used:  
 315  $\mathbf{U}^{\text{sim}}(\mathbf{y}; \hat{\theta}) = \mathbf{U}(\mathbf{y})$ . Risk consistency indicates  
 316 that the prediction error made based on the  
 317 discrete displacement measurements leads asymptotically  
 318 (i.e.,  $n \rightarrow \infty$ ) to the smallest prediction  
 319 error in the continuum sense, since  $\mathcal{L}$  is the risk

function associated with the continuous displacement  
 320 fields. 321

In discrete form, the set of displacement measurements  
 322  $\mathbf{u}^{\text{mes}}$  consists of the true displacement values,  $\mathbf{u}$ ,  
 323 sampled from  $\mathbf{U}$ , and the measurement noise term,  $\epsilon$ ,  
 324 that are realizations of  $\zeta$  taken at the measurement  
 325 points: 326

$$\mathbf{u}^{\text{mes}} = \mathbf{u} + \epsilon \quad (6)$$

327 where  $\epsilon$  is the vector of independent and identically  
 328 distributed random variables associated with each  
 329 displacement measurement (i.e., the measurement  
 330 noise is taken to be spatially uncorrelated). Each  
 331 error component is assumed to follow a certain  
 332 probability distribution with zero mean ( $\mathbb{E}(\epsilon_{y_1}) =$   
 333  $\mathbb{E}(\epsilon_{y_2}) = 0$ ) and the variance of  $\mathbb{E}(\epsilon_{y_1}) =$   
 334  $\mathbb{E}(\epsilon_{y_2}) = \sigma_\epsilon^2$ . We note that no spatial correlation  
 335 and zero-mean (i.e., lack of bias) assumptions may  
 336 not necessarily hold for all measurement types,  
 337 and are used in the exemplar cases discussed in  
 338 this manuscript. Some prior studies considered no  
 339 spatial correlation when the imaging system gains  
 340 the RAW data without any preprocessing [50, 52].  
 341 Furthermore, characterization of the true distribution  
 342 of noise could be difficult to determine and

likely dependent on the material imaged and the type of the imaging system used.

In the objective function formulation (Eq. 1), the random noise term can be condensed out by substituting Eq. 6:

$$\begin{aligned} \mathcal{L}_n(\boldsymbol{\theta}) &= \frac{\frac{1}{n} \left[ \sum_{i=1}^n \|\boldsymbol{\eta}_i(\boldsymbol{\theta})\|^2 + 2\boldsymbol{\epsilon}_i \cdot \boldsymbol{\eta}_i(\boldsymbol{\theta}) + \|\boldsymbol{\epsilon}_i\|^2 \right]}{\frac{1}{n} \left[ \sum_{i=1}^n \|\mathbf{u}_i\|^2 + 2\boldsymbol{\epsilon}_i \cdot \mathbf{u}_i + \|\boldsymbol{\epsilon}_i\|^2 \right]} \\ &= \frac{\overline{\|\boldsymbol{\eta}_n(\boldsymbol{\theta})\|^2} + 2\overline{\boldsymbol{\epsilon}_n \cdot \boldsymbol{\eta}_n(\boldsymbol{\theta})} + \overline{\|\boldsymbol{\epsilon}_n\|^2}}{\overline{\|\mathbf{u}_n\|^2} + 2\overline{\boldsymbol{\epsilon}_n \cdot \mathbf{u}_n} + \overline{\|\boldsymbol{\epsilon}_n\|^2}} \end{aligned} \quad (7)$$

where the numerator and denominator were multiplied by  $1/n$ , the overbar notation indicates sample averaging, and  $\boldsymbol{\eta}_i(\boldsymbol{\theta}) = \mathbf{u}_i - \mathbf{u}_i^{\text{sim}}(\boldsymbol{\theta})$ .  $\overline{\|\boldsymbol{\eta}_n(\boldsymbol{\theta})\|^2}$  is the deterministic prediction error between the true and simulated displacement values. In Appendix A, it is shown that minimizing  $\mathcal{L}_n$  does not necessarily minimize the deterministic prediction error due to the effect of measurement noise except at the asymptotic limit.

Leveraging the law of large numbers ( $n \rightarrow \infty$ ) enables the summation of the noise terms  $\boldsymbol{\epsilon}_i$  in Eq. 7 to approach their expectation and the square of them to their variance:

$$\frac{1}{n} \sum_{i=1}^n \boldsymbol{\epsilon}_i \cdot \boldsymbol{\eta}_i(\boldsymbol{\theta}) \rightarrow \mathbb{E}(\boldsymbol{\epsilon}_i) \cdot \overline{\boldsymbol{\eta}_n(\boldsymbol{\theta})} = 0 \quad (8)$$

$$\frac{1}{n} \sum_{i=1}^n \|\boldsymbol{\epsilon}_i\|^2 \rightarrow \mathbb{E}(\|\boldsymbol{\epsilon}_i\|^2) = \mathbb{E}(\epsilon_{y_1}^2) + \mathbb{E}(\epsilon_{y_2}^2) = 2\sigma_\epsilon^2 \quad (9)$$

$$\frac{1}{n} \sum_{i=1}^n \boldsymbol{\epsilon}_i \cdot \mathbf{u}_i \rightarrow \mathbb{E}(\boldsymbol{\epsilon}_i) \cdot \overline{\mathbf{u}_n} = 0 \quad (10)$$

noting that the noise term is independent of the true displacements  $\mathbf{u}_i$  and the prediction error  $\boldsymbol{\eta}_i$ .

Substituting Eqs. 8-10 into Eq. 7 and letting  $n \rightarrow \infty$ , the objective function asymptotically converges to:

$$\mathcal{L}_n \rightarrow \mathcal{L}_\infty := \frac{\overline{\|\boldsymbol{\eta}_n(\boldsymbol{\theta})\|^2} + 2\sigma_\epsilon^2}{\overline{\|\mathbf{u}_n\|^2} + 2\sigma_\epsilon^2} \quad (11)$$

The value of  $\mathcal{L}_\infty$  when evaluated with the true parameter set is:

$$\mathcal{L}_\infty(\boldsymbol{\theta} = \hat{\boldsymbol{\theta}}) = \frac{2\sigma_\epsilon^2}{\mathbb{E}(\|\mathbf{u}_i\|^2) + 2\sigma_\epsilon^2} \quad (12)$$

since  $\mathbf{u}^{\text{sim}}(\hat{\boldsymbol{\theta}}) = \mathbf{u}$ , which is the global minimum ( $\|\boldsymbol{\eta}_n(\hat{\boldsymbol{\theta}})\|^2 \geq 0$ ). The true parameter set is also the minimizer of  $\mathcal{L}(\boldsymbol{\theta})$ , hence satisfying risk consistency (i.e., Eq. 4). This result shows the minimization process in Eq. 3 converges to the true parameter dataset as the set of measurements tends to infinity if no model error is assumed.

Following the nomenclature proposed in [2], the estimation consistency of the model estimate is defined as:

$$\hat{\boldsymbol{\theta}}_n \xrightarrow{p} \hat{\boldsymbol{\theta}} \quad (13)$$

Estimation consistency ensures that the optimization process results in the true parameter set when the objective function is minimized. The prior discussion on risk consistency showed that the true parameter set is a minimizer of  $\mathcal{L}_n$ . Estimation consistency states that the true parameter set is the *only* global optimizer at the asymptotic limit. This can be satisfied if  $C(1)$ : the forward problem results in a unique set of displacements,  $\mathbf{u}_i^{\text{sim}}(\boldsymbol{\theta})$ , for a given set of parameters,  $\boldsymbol{\theta}$ , and  $C(2)$  each set of displacements can only be generated by a unique set of parameters (identifiability condition).  $C(1)$  requires strict convexity of the potential energy,  $\Pi_p$ , with respect to  $\mathbf{u}^{\text{sim}}$  for each  $\boldsymbol{\theta}$ . The convexity of  $\Pi_p$  in terms of full-field displacement in linear elasticity is standard, for instance when  $\mathbf{u}^{\text{sim}}$  represents all nodal displacement values of a finite element model [39]. The convexity of  $\Pi_p$  when  $\mathbf{u}^{\text{sim}}$  is a subset of the nodal displacement vector that corresponds to measurement points is demonstrated in Appendix B. In Appendix C,  $C(2)$  is studied for a one-dimensional composite specimen under known applied strain in which the spatial variation of the Young's modulus of the resin,  $E_m(y; \boldsymbol{\theta})$ , is characterized using the fiber centroid displacements as input. This study shows that a regular arrangement of fibers fails the identifiability condition regardless of the form of the spatial variation of the Young's modulus of the resin. In contrast, a random arrangement of fibers typically provides sufficient information to satisfy the identifiability condition. A general extension to a two-dimensional (2D) case is not straightforward, but it is reasonable to suppose that the

412 identifiability condition is satisfied by considering  
413 sufficiently large datasets on specimens with ran-  
414 domly distributed fibers. This result suggests that  
415 the formulation proposed shows estimation con-  
416 sistency, Eq. 13. Therefore, provided a sufficiently  
417 large dataset is obtained, the true parameters can  
418 be identified despite the presence of random noise.  
419 The results obtained from several virtual char-  
420 acterizations reported in Section 3 support this  
421 supposition.

## 422 2.3 Optimization Algorithms

423 In the numerical studies performed in this section,  
424 two methods are employed to determine the  
425 parameters,  $\hat{\theta}_n$ , that minimize Eq. 1: (1) the enu-  
426 meration algorithm, and (2) sequential quadratic  
427 programming (SQP).

428 In the enumeration algorithm, the parame-  
429 ter space is sampled and the objective func-  
430 tion is computed at every sampling point. This  
431 approach is often computationally prohibitive for  
432 cases when the number of parameters exceed two  
433 or three due to the exponential increase in the  
434 number of required sample points for a fixed dis-  
435 cretization of each parameter. In the present work,  
436 the enumeration algorithm is employed to map the  
437 objective function and study its characteristics.  
438 To reduce the computational time the parameter  
439 space was discretized using a uniform grid that  
440 was finer near the optimum and coarser elsewhere.  
441 Additionally, the evaluations of the objective func-  
442 tion at each grid-point were performed in parallel.

443 Gradient-based and evolutionary algorithms  
444 are well suited to solve optimization problems  
445 with several unknowns. In the present work, SQP  
446 is employed due to its suitability to solve con-  
447 strained optimization problems [7], defined as  
448 bounds on the parameter space. All the parame-  
449 ters are normalized such that their value is within  
450 the range of  $[0, 1]$ . The Scipy Python package (ver-  
451 sion 1.91) [48] and method ‘SLSQP’ is employed  
452 as the SQP implementation [26]. The Jacobian  
453 matrix is evaluated using finite differences with a  
454 step size of  $10^{-4}$ . In order to improve the like-  
455 lihood of determining the global minimum, the  
456 multi-start method is employed, where optimiza-  
457 tions are started with randomly selected initial  
458 conditions using stratified sampling of the param-  
459 eter space [27]. The termination tolerance of the

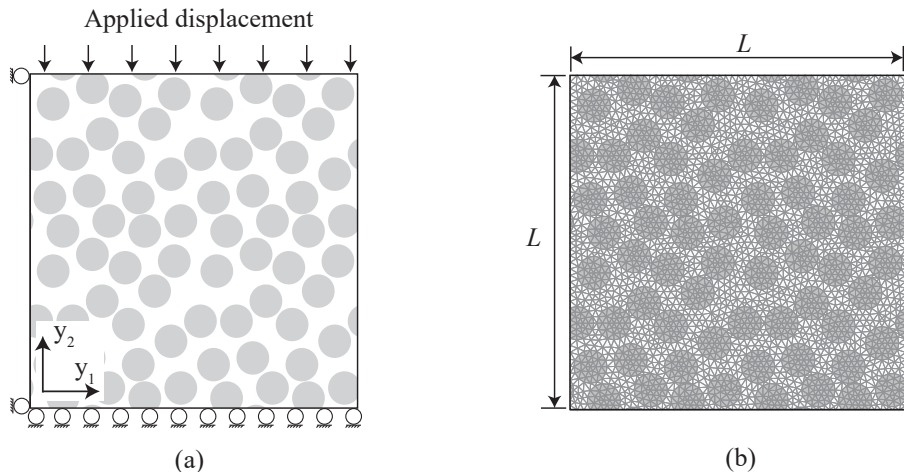
460 optimization is also set to  $10^{-4}$ . The value for tol-  
461 erance and finite difference step size were found to  
462 be a good compromise between accuracy and com-  
463 putational cost. The optimal solution is considered  
464 to be given by the parameters yielding the smallest  
465 objective function among all the optimizations.

## 466 3 Virtual characterization

467 In this section, the proposed approach is applied  
468 to a series of numerically-generated experimental  
469 data, henceforth designated synthetic experimental  
470 data, which is used in lieu of experimental  
471 data. Hence, each application of the approach is  
472 viewed as a virtual characterization. Since the  
473 true material properties used to generate the syn-  
474 thetic data are known, virtual characterizations  
475 are extremely valuable to understand and docu-  
476 ment the accuracy of the proposed approach.  
477 Using the enumeration algorithm, the following  
478 aspects of the inverse characterization approach  
479 proposed are investigated: (1) the identifiability  
480 condition and when it is fulfilled, (2) the effect of  
481 noise amplitude on the optimization results, and  
482 (3) the effect of the number of measurement points  
483 and microstructure via varying the fiber volume  
484 fraction.

### 485 3.1 Problem setup

486 In Fig. 2, the loading and boundary conditions  
487 used in the numerical simulations performed to  
488 generate the synthetic experimental data and eval-  
489 uate the forward problem in the optimization  
490 algorithm are illustrated. 2D numerical models  
491 are subjected to 1% strain-controlled compressive  
492 loading under plane strain conditions. The  
493 random arrangement of fibers is created by a  
494 random sequential adsorption process [45]. The  
495 synthetic experimental data is generated by per-  
496 forming finite element simulations using assumed  
497 material properties and extracting displacements  
498 at the fiber centroids, mimicking the results from  
499 the FTM technique. As proposed in [11, 14], the  
500 FTM algorithm detects the 2D coordinates of fiber  
501 centroids in images captured within the trans-  
502 verse plane and measures the displacements of  
503 the fiber centroids by comparing the coordinates  
504 of the fiber centroids taken from images before  
505 and during loading. All finite element simulations  
506 were performed using the open-source package



**Fig. 2:** Schematic illustration of the numerical specimen in the characterization examples. (a) Geometry, loading and boundary conditions. (b) Mesh discretization.

**Table 1:** Material properties of the composite constituents

Elastic properties of epoxy resin				
$E_{\text{int}}$ [GPa]	$\alpha$ [ $\mu\text{m}^{-1}$ ]	$\bar{E}_m$ [GPa]	$\nu_m$	
7.5426	0.23465	5.06	0.34	
Elastic properties of fiber				
$E_1$ [GPa]	$E_2$ [GPa]	$G_{12}$ [GPa]	$G_{13}$ [GPa]	$\nu_{31}$
276	19.5	7.169	70	0.24

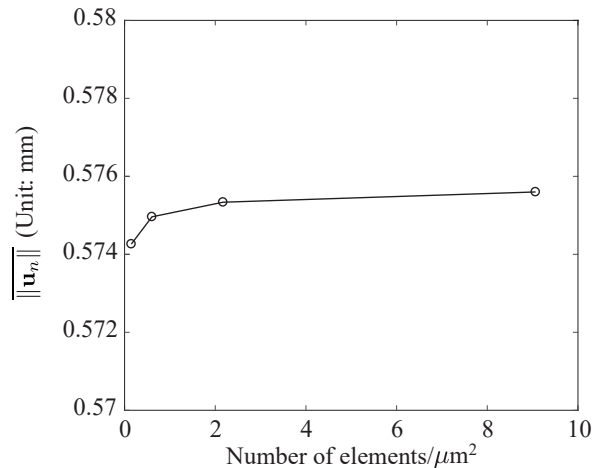
507 Calculix [15]. A sample discretization of the composite specimen, where linear tetrahedral elements are used to discretize the domain is shown in 508 Fig. 2b. 509

510 The mechanical properties of the composite constituents are chosen to be similar to a typical graphite reinforced thermoset epoxy composite [4]. The fibers are modeled as transversely isotropic. All fibers are assumed to have the same, constant, Young's modulus. The Young's modulus of the isotropic resin is taken to be spatially variable. The resin Young's modulus associated with a spatial point,  $E_m(\mathbf{y})$ , is assumed to be an exponential function of the distance,  $l$ , from the material point,  $\mathbf{y}$ , to the nearest fiber-resin interface: 511 512 513 514 515 516 517 518 519 520 521

$$E_m(\mathbf{y}) = (E_{\text{int}} - \bar{E}_m) \exp(-\alpha l) + \bar{E}_m \quad (14)$$

522 where  $E_{\text{int}}$  stands for the resin Young's modulus at the fiber-resin interface,  $\alpha$  is a parameter that controls the variation of Young's modulus distribution,  $\bar{E}_m$  represents the Young's modulus at a large distance from the fiber-resin interface (i.e.  $E_m = \bar{E}_m$ , with  $l \rightarrow \infty$ ) and its value can be considered to equal the Young's modulus of the neat resin. The aforementioned spatial variation is assumed based on the experiments gathered in [21], wherein in-situ measurements of the resin Young's modulus suggested an exponential relationship between the Young's modulus and the size of the resin pocket. Other forms for the spatial variation, requiring additional parameters, are discussed in Section 3.6. The experimental measurements for  $E_{\text{int}}$ ,  $\bar{E}_m$  and  $\alpha$  obtained in Ref. [21] are employed for generating synthetic measurement data and listed in Table 1 along with the 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539





**Fig. 3:** Average fiber centroid displacement magnitude vs. mesh size density.

Poisson ratio,  $\nu_m$ , and the Young's modulus of the fibers. The results of the finite element simulation assuming the properties in Table 1 are used as the synthetic experimental data.

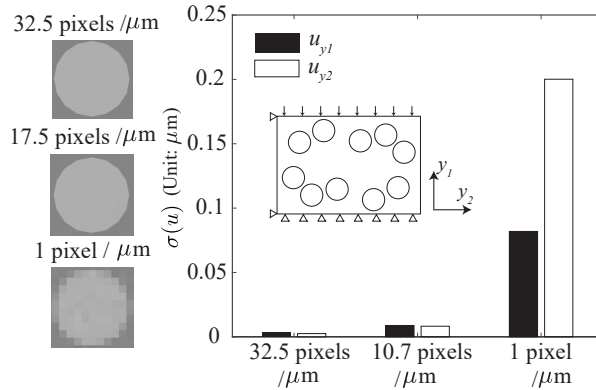
The synthetic experimental data is subsequently post-processed to extract the displacements at the nodes positioned at fiber centroids. The mesh density used in the finite element simulations is checked to minimize the finite element model error. As shown in Fig. 3, the discrepancy of average fiber centroid displacement compared between the coarsest and finest mesh is only 0.2%. A mesh size density of 0.6 elements/ $\mu\text{m}^2$  is employed throughout this work.

In the present work, the displacement measurements are polluted with randomly generated Gaussian noise, which can be traced back to the image resolution used in the FTM approach [11]. To obtain an estimate for the expected relationship between noise amplitude and image resolution, as well as the expected noise amplitude, FTM was applied to track the fiber centroid displacements using images of a deformed and reference numerical model obtained with three levels of image resolution: 1 pixel/ $\mu\text{m}$ , 10.7 pixels/ $\mu\text{m}$  and 32.5 pixels/ $\mu\text{m}$ . As shown in Fig. 4, the standard deviations of the absolute error for each displacement component range from 0.0025  $\mu\text{m}$  to 0.2  $\mu\text{m}$ . The standard deviations of  $u_{y_1}$  and  $u_{y_2}$  are approximately the same for both 32.5 pixels/ $\mu\text{m}$  and 10.75 pixels/ $\mu\text{m}$ . In the following virtual characterizations, the standard deviation

of the assumed Gaussian noise is considered to range from 0  $\mu\text{m}$  to 0.1  $\mu\text{m}$  and is assumed to be the same for both  $u_{y_1}$  and  $u_{y_2}$ , as suggested by the results obtained for 32.5 pixels/ $\mu\text{m}$  and 10.75 pixels/ $\mu\text{m}$ , to satisfy the assumption of standard deviation that:  $\mathbb{E}(\epsilon_{y_1}) = \mathbb{E}(\epsilon_{y_2}) = \sigma_\epsilon^2$ .

### 3.2 Identifiability assessment

In this section, the identifiability condition using 2D numerical specimens is studied for two unknown parameters (i.e.  $\theta = \{E_{\text{int}}, \alpha\}$ ). Identifiability is checked by directly plotting the objective function landscape probed using the enumeration algorithm. The spacing for the grids is set at  $\Delta E_{\text{int}} = 0.2$  MPa and  $\Delta\alpha = 0.02$ , while the finer grid spacing near the optimum is established with  $\Delta E_{\text{int}} = 0.02$  MPa and  $\Delta\alpha = 0.005$ . Three specimens were created with different fiber arrangements as shown in Fig. 5, where the domain size is  $L = 100\mu\text{m}$  and the fiber radius is  $5\mu\text{m}$ . The specimens include a regular grid of fibers (Fig. 5a), a regular grid with a resin rich region (Fig. 5b), and a specimen with random fiber arrangement (Fig. 5c). The corresponding fiber volume fractions for these arrangements are 50.27%, 43.98%, and 53.41%, respectively. No measurement noise is added to fiber centroid displacements. The contours of the objective function (denoted by percentage value) generated at the grid points in the parameter space are shown in Figs. 5d-f. The contour is displayed in the parameter space scaled by



**Fig. 4:** Standard deviations of displacement components for different image resolutions. The numerical specimen is shown as an inset in the figure.

602 the relative error:

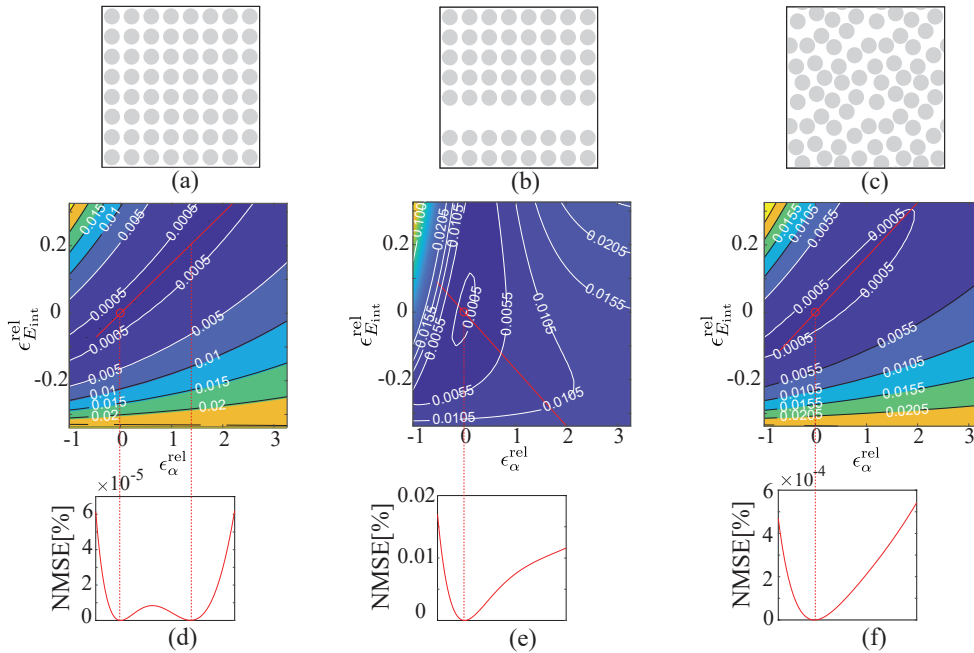
$$\epsilon_{\theta}^{\text{rel}} = \left| \frac{\hat{\theta} - \theta_{\text{true}}}{\theta_{\text{true}}} \right| \quad (15)$$

603 The zero point (denoted by a red circle) in  
 604 the contour plot represents the true value of these  
 605 parameters. As shown in Fig. 5d, the specimen  
 606 with uniform resin pocket size (Fig. 5a) has mul-  
 607 tiple minima since the contour lines near the  
 608 true value are not closed. The objective func-  
 609 tion along a line segment that passes through the  
 610 true parameter set is plotted below the contour  
 611 plot. As can be observed in Fig. 5d, the objec-  
 612 tive function is zero for multiple parameter sets,  
 613 including, but not limited to, the parameter set  
 614 corresponding to the true values of the param-  
 615 eters. Therefore, in this case, the parameters are  
 616 not uniquely identifiable and, hence, the optimiza-  
 617 tion problem is not strictly convex. The objective  
 618 function landscapes for the microstructure with  
 619 two or more distinct resin pocket sizes (see Fig. 5b  
 620 and 5c) have closed contour lines near the true  
 621 value. The objective function along the line seg-  
 622 ment that passes to the true parameter set is  
 623 zero only when the parameter set equals the true  
 624 value of the parameters. The identifiability con-  
 625 dition is therefore satisfied, indicating convexity  
 626 of the optimization problem. These results sug-  
 627 gest that the identifiability condition is affected by  
 628 the fiber arrangement when using fiber centroid  
 629 displacements to infer spatially distributed resin  
 630 properties. The relationship between fiber and

631 resin geometric arrangement and the identifiabil-  
 632 ity condition is further studied in the Appendix C  
 633 via a 1-dimensional (1D) problem. The 2D results  
 634 reported, as well as the insight obtained through  
 635 the 1D study in Appendix C, indicate that, pro-  
 636 vided the number of different resin pocket sizes  
 637 is larger than the number of material parameters  
 638 that need to be determined, the identifiability  
 639 condition is met. Hence, given the random nature  
 640 of typical fiber arrangements, a complex spatial  
 641 variation of material properties (with complex-  
 642 ity judged by number of parameters) can be  
 643 assumed without compromising the identifiability  
 644 condition.

### 645 3.3 Effect of noise

646 A specimen of size  $L = 200\mu\text{m}$  with a ran-  
 647 dom arrangement of fibers (radius of  $5\mu\text{m}$ ) is  
 648 employed. The fiber volume fraction is set to 55%  
 649 and there are 280 fibers in total within the spec-  
 650 imen. Each displacement component in the fiber  
 651 centroid displacement measurements is assumed  
 652 to be corrupted with independent Gaussian noise  
 653 with zero mean and standard deviation desig-  
 654 nated by  $\sigma_{\epsilon}$ . To study the variability of the virtual  
 655 characterization results in the presence of noise,  
 656 the virtual characterization is repeated 100 times  
 657 using different synthetic experimental data each  
 658 time. Each synthetic dataset is created by adding  
 659 different noise realizations, but with the same  
 660 standard deviation, to a noise free dataset. The  
 661 effect of the noise amplitude is studied by adjust-  
 662 ing the value of the standard deviation which takes



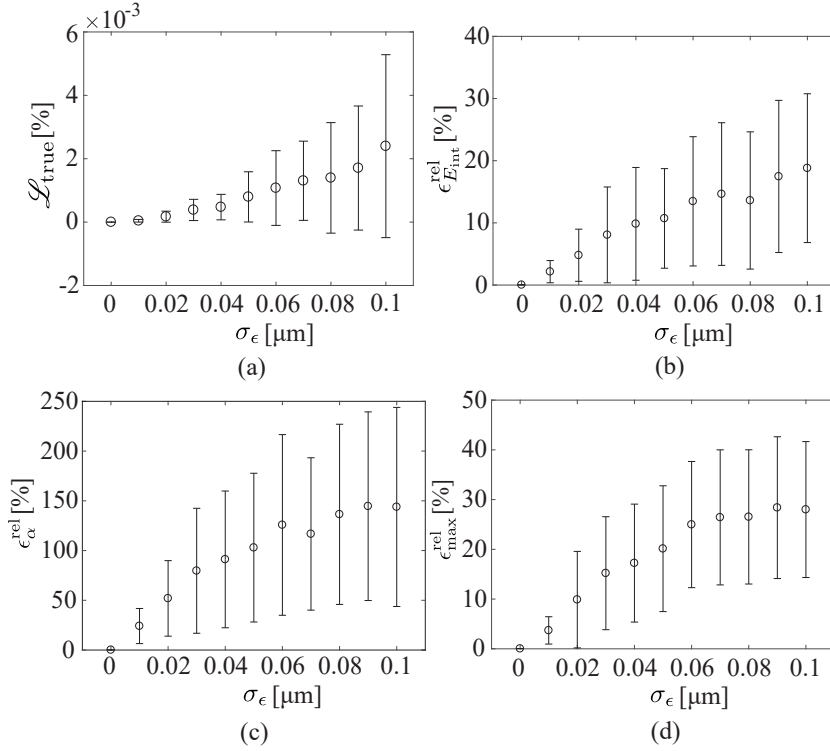
**Fig. 5:** The specimen with (a) a regular grid of fibers, (b) a grid of a resin rich region, and (c) random fiber arrangement. (d), (e), and (f) are the objective function landscapes corresponding to (a), (b), and (c), respectively. In the first row the objective function value as a function of the two parameters,  $E_{\text{int}}$  and  $\alpha$  is illustrated. In the second row the value of objective function along the red lines denoted in the first-row contours is displayed.

663 values from  $\sigma_\epsilon = 0 \mu\text{m}$  to  $\sigma_\epsilon = 0.1 \mu\text{m}$  in incre- 664  
665 ments of  $0.01 \mu\text{m}$ . The enumeration algorithm is 666  
667 employed for the optimization. In this exercise, 668  
669 the virtual characterization aims to determine 670  
671 the Young's modulus,  $E_{\text{int}}$ , and spatial variance 672  
673 parameter,  $\alpha$ , in Eq. 14, and assumes all other 674  
675 material properties are known. The grid spacing is 676  
677 configured to match that of Section 3.2, and it is 678  
679 also utilized for the enumeration algorithm exam- 680  
681 ples in the subsequent sections. In Fig. 6a, the 682  
683 statistics of the error of displacement prediction 684  
685 relative to the true displacement field ( $\mathcal{L}_{\text{true}} :=$   
 $\sum_{i=1}^n \|\mathbf{u}_i - \mathbf{u}_i^{\text{sim}}\|^2 / \sum_{i=1}^n \|\mathbf{u}_i\|^2$ ) are reported as a  
function of the noise amplitude. The mean value  
(denoted by a circle) and the standard deviation  
(denoted by a whisker) of true prediction  
error are amplified when the amplitude of the  
noise increases. The mean values and the standard  
deviations of the relative errors in  $\{E_{\text{int}}, \alpha\}$  are  
shown in Figs. 6b and c. The errors in identify-  
ing  $E_{\text{int}}$  and  $\alpha$  reach  $18.8\% \pm 12\%$  and  $143.8\% \pm$   
 $100\%$ , respectively, at the highest noise amplitude

685 considered. The overall error in the identifica- 686  
687 tion of resin Young's modulus is measured by 688  
689 the maximum relative error within the modulus 690  
691 distribution (named maximum Young's modulus 692  
693 error herein), expressed by  $\epsilon_{\text{max}}^{\text{rel}} = \max_{\mathbf{y}} |(\hat{E}_{\text{m}}(\mathbf{y}) -$   
 $E_{\text{m}}(\mathbf{y}))|/E_{\text{m}}(\mathbf{y})$ , where  $E_{\text{m}}(\mathbf{y})$  is the true distri-  
bution. As shown in Fig. 6d, the Young's modulus  
error reaches a maximum of  $28\% \pm 13.7\%$  at the  
highest noise amplitude, despite a significantly  
higher error in  $\alpha$  being registered at the same  
noise amplitude. 695

### 3.4 Alleviating the effects of measurement noise 696

697  
698 The corrupting effect of noise can be alleviated 699  
700 by increasing the number of sampling points 701  
702 for measurement as discussed in Section 2.2. In 703  
704 the context of using fiber centroids for mea- 705  
706 surements, enlarging the specimen size, hence 707  
708 increasing the number of fibers,  $n_f$ , or performing 709  
710  $n_{\text{exp}}$  experiments can increase the sampling points 711  
712 for the measurement,  $n$ , given by  $n = n_{\text{exp}} n_f$ .



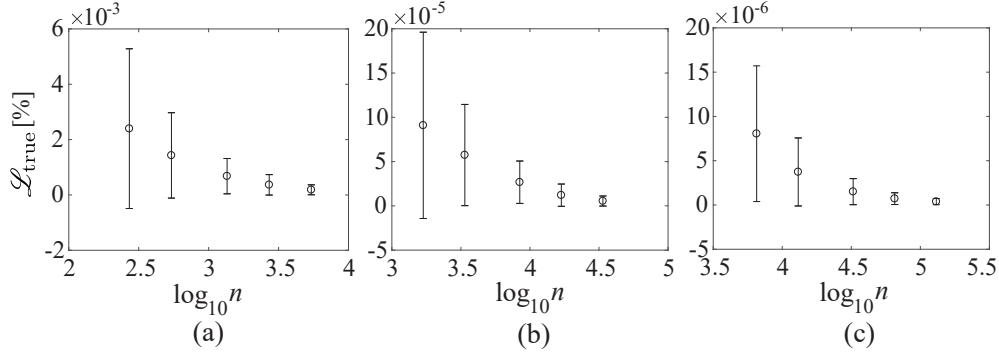
**Fig. 6:** The mean value (circle) and standard deviation (whisker) of (a) displacement prediction error, (b)  $E_{\text{int}}$ , (c)  $\alpha$ , (d) maximum relative error in Young’s modulus within the distribution, for the characterizations using a  $200\mu\text{m}$  specimen with different noise levels represented by the standard deviation  $\sigma_\epsilon$ .

706 Those points are named “measurement points”  
707 for brevity. In the following virtual characteriza-  
708 tions, three specimens with fiber volume frac-  
709 tion of 55% and length (i.e.  $L$  in Fig. 2) of  $200\mu\text{m}$ ,  
710  $500\mu\text{m}$ , and  $1\text{mm}$  are employed. The total num-  
711 ber of fibers are 280, 1,750, and 7,000 for  $L = 200$   
712 microns, 500 microns, and 1 mm, respectively.  
713 In order to increase the number of measurement  
714 points, the same specimens were unloaded and  
715 reloaded elastically 1, 2, 5, 10, 20 times. The vir-  
716 tual characterizations are repeated for 100 times  
717 for each specimen loaded  $n_{\text{exp}}$  times. The mea-  
718 surement error is introduced independently for each  
719 virtual characterization with standard deviation  
720 of  $\sigma_e = 0.1\mu\text{m}$ .

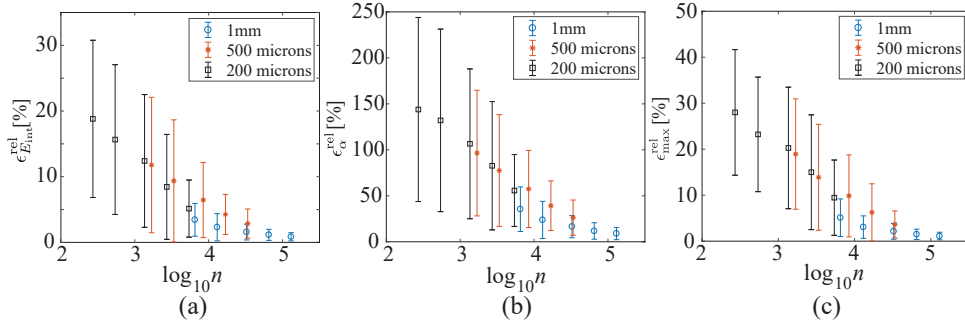
721 The mean values and ranges of displacement  
722 prediction error obtained by the enumeration algo-  
723 rithm are shown in Figs. 7a through 7c for each  
724 specimen as the number of measurement points,

725  $n$ , increases. The prediction error reveals less vari-  
726 ance with increasing  $n$  and the error introduced  
727 by the measurement noise is reduced.

728 In Fig. 8, the mean value and standard devia-  
729 tion of the relative error of  $E_{\text{int}}$ ,  $\alpha$ , and the max-  
730 imum relative error in Young’s modulus within  
731 the distribution are depicted as a function of the  
732 measurement points. The results for each speci-  
733 men size are discriminated by different colors and  
734 markers. For a fixed-sized specimen, a monoton-  
735 ically decreasing trend of bias and variance in the  
736 identified parameters with increase in the num-  
737 ber of measurement points can be observed. The  
738 number of measurements points is increased by  
739 performing more experiments on the same speci-  
740 men. The maximum error in Young’s modulus  
741 reduces from  $28\% \pm 13.7\%$  ( $200\mu\text{m}$  specimen  
742 loaded a single time) to  $1.48\% \pm 1.13\%$  (1 mm  
743 specimen loaded 10 times), and  $1.12\% \pm 0.82\%$  (1  
744 mm specimen loaded 20 times).



**Fig. 7:** The mean value (circle) and standard deviation (whisker) of the displacement prediction error for for (a) 200  $\mu\text{m}$ , (b) 500  $\mu\text{m}$  and (c) 1 mm specimen unloaded and reloaded 1, 2, 5, 10 and 20 times with noise amplitude of  $\sigma_\epsilon = 0.1\mu\text{m}$ .  $n$  stands for total number of measurement points.



**Fig. 8:** The mean value (marker) and standard deviation (whisker) of the relative error of (a)  $E_{\text{int}}$ , (b)  $\alpha$ , and (c) maximum Young's modulus within the distribution, for the characterizations of 200  $\mu\text{m}$ , 500  $\mu\text{m}$ , and 1 mm specimens unloaded and reloaded 1, 2, 5, 10, 20 times with noise amplitude of  $\sigma_\epsilon = 0.1\mu\text{m}$ .

745 In Fig. 9a, the characterized Young's modu- 762  
 746 lus variation for 100 inverse characterizations are 763  
 747 plotted as a function of the distance from the near- 764  
 748 est fiber,  $l$ , based on the measurements of a 1 mm 765  
 749 specimen loaded 20 times (denoted by gray lines) 766  
 750 and compared to the true value (denoted by black 767  
 751 lines). Among 100 inverse characterizations, most 768  
 752 characterization results deviate from the refer- 769  
 753 ence distribution at  $l = 0 \mu\text{m}$  (i.e., at the inter- 770  
 754 face) and beyond  $1 \mu\text{m}$ . The errors are at their 771  
 755 lowest around  $l = 1 \mu\text{m}$ . The contours of the relative 772  
 756 error in Young's modulus are illustrated in Fig. 9b, 773  
 757 which confirms the trend that the higher discrep- 774  
 758 ancies occur at the fiber/resin interface (yellow 775  
 759 region indicated by positive error) and the center 776  
 760 of the resin pocket (blue region indicated by 777  
 761 negative error). This trend is attributed to the

762 observation that (a) the actual resin Young's modu- 763  
 764 lus distribution in a specimen is bounded by the 764  
 765 proximity of the fibers; and (b) the upper bound 765  
 766 of the resin Young's modulus in the finite element 766  
 767 computation is dictated by the distance between 767  
 768 the fiber-resin interface and the closest integra- 768  
 769 tion points in the resin. Regarding the latter, refin- 769  
 770 ing the mesh near the interfaces would allow more 770  
 771 measurement points for interface Young's modu- 771  
 772 lus ( $E_{\text{int}}$ ) and hence its identification. Regarding 772  
 773 the former, the histogram of distances between 773  
 774 each integration point in the resin and the near- 774  
 775 est fiber in the mesh is shown in Fig. 9a. Most 775  
 776 of the integration points in the resin phase have 776  
 777 the nearest fiber distance ranging from  $0\mu\text{m} < 777$   
 778  $l < 5\mu\text{m}$ , the range where the error in Young's 778  
 779 modulus variation reaches minimum. The results 779  
 in Fig. 9a suggest that the magnitude of the error

780 in the estimated modulus (for each point at a  
781 distance  $l$  from the nearest fiber) is affected by  
782 the range of distances between fibers within the  
783 microstructure.

### 784 3.5 Effect of fiber volume fraction 785 on characterization error

786 The fiber volume fraction affects property identi-  
787 fication in two ways. For a fixed-sized specimen, a  
788 reduction in fiber volume fraction implies a reduction  
789 in the number of measurement points (assuming  
790 data collection is restricted to fiber centroid  
791 displacements) and hence adversely affects the  
792 identification process. However, a relatively low  
793 fiber volume also implies a larger fiber-to-fiber dis-  
794 tance in the specimen and hence a more uniform  
795 sampling of resin modulus variation. We consider  
796 fiber volume fractions 15%, 30%, 42%, and 55%  
797 in 500  $\mu\text{m}$  specimens. Each specimen is subjected  
798 to 1, 2, 5, 10, and 20 load-unload cycles. Virtual  
799 characterizations are repeated 100 times using  
800 noisy synthetic experimental data, generated as  
801 described in Section 3.3, with an assumed noise  
802 amplitude of  $\sigma_e = 0.1\mu\text{m}$ . In Fig. 10, the mean  
803 value and standard deviation of the characteriza-  
804 tion error for each volume fraction is reported as  
805 a function of number of measurement points. The  
806 reducing trend in the error associated with  $E_{\text{int}}$  is  
807 apparent. Decreasing volume fraction also lowers  
808 the estimation error of the spatial variation term,  
809  $\alpha$ . This is because the specimen with lower vol-  
810 ume fraction has larger sizes of resin pockets amid  
811 the fibers resulting in a more even sampling of the  
812 spatial variation of the resin modulus. Hence, the  
813 specimen with the lower volume fraction exhibits a  
814 higher sensitivity of displacement response to the  
815 changes in the spatial variation term  $\alpha$  and there-  
816 fore the effect of the noise diminishes, despite the  
817 smaller number of measurement points. The char-  
818 acterization error in the examples with a relative  
819 higher volume fraction of 55% is less susceptible to  
820 the impact of reducing the size of the resin pocket.  
821 In this scenario, the increased number of measure-  
822 ment points (i.e. number of embedded fibers) plays  
823 a more significant role, resulting in a slightly lower  
824 characterization error compared to the 42% cases.

### 825 3.6 Increasing the parameter set size

826 In this section, larger sets of parameters describing  
827 a more complex spatial variation of resin prop-  
828 erties are identified using the SQP optimization  
829 approach described in Section 2.3. A specimen of  
830 size  $L = 500\mu\text{m}$  and 42% fiber volume fraction  
831 (55 fibers) is employed. The specimen is subjected  
832 to 20 load-unload cycles. The synthetic datasets  
833 used are assumed to be polluted by random noise  
834 with  $\sigma_e = 0.01\mu\text{m}$ , corresponding to the image  
835 resolution of 10.7 pixels per micron in Fig. 4.

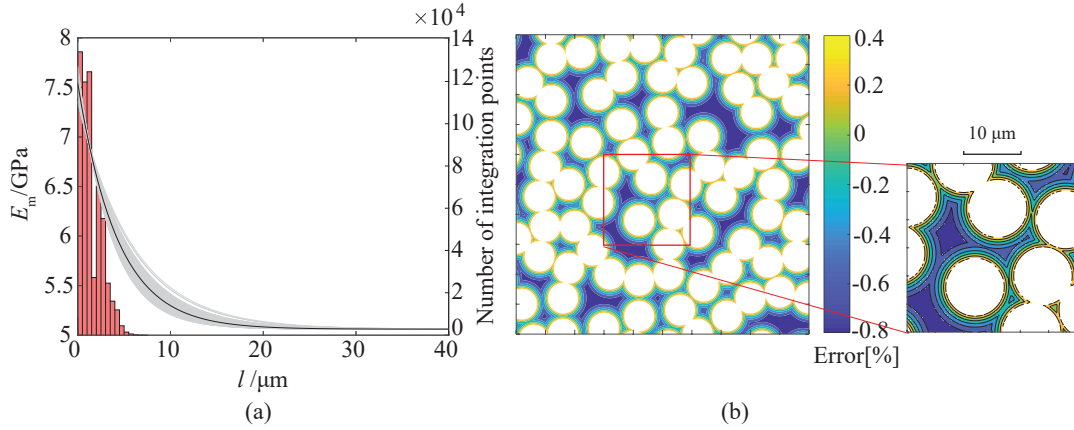
836 The resin modulus is taken to vary at two  
837 scales. The variation at the lower scale is assumed  
838 to be adequately represented by the exponential  
839 form of Eq. 14. To capture the variation at a  
840 coarser scale, a harmonic form is added to Eq. 14  
841 yielding:

$$842 E_m(\mathbf{y}) = (E_{\text{int}} - \bar{E}_m) \exp(-\alpha l) + \bar{E}_m + A \sin\left(\frac{2\pi y_1}{\lambda_{y_1}}\right) \sin\left(\frac{2\pi y_2}{\lambda_{y_2}}\right) \quad (16)$$

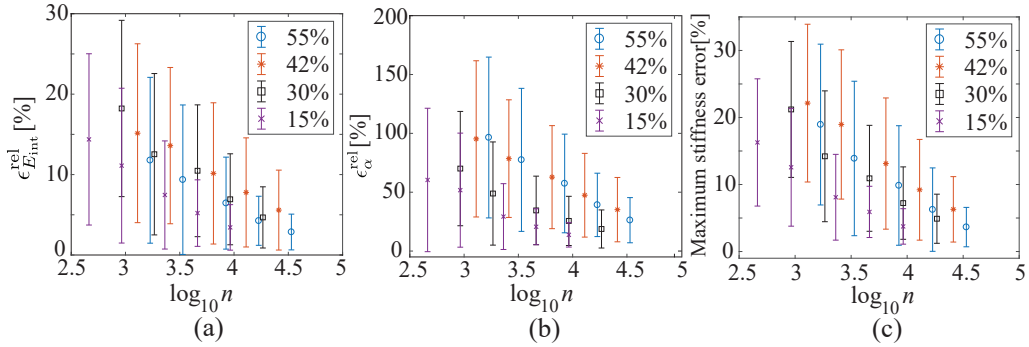
843 in which  $\lambda_{y_1}$  and  $\lambda_{y_2}$  are the wave lengths of har-  
844 monic variations along the  $y_1$  and  $y_2$  directions  
845 and  $A$  is the amplitude of harmonic variation. The  
846 harmonic form chosen is not physically motivated  
847 and can be revisited as needed.

848 In the following virtual characterizations, the  
849 number of unknown model parameters,  $\theta$ , is pro-  
850 gressively increased. The true values,  $\theta$ , are listed  
851 in Table 2 for reference. The Poisson's ratio of  
852 the resin is assumed to be unknown for all the  
853 examples. In cases 2–4, the remote resin modulus,  
854  $\bar{E}_m$ , is also considered as an unknown parameter  
855 in addition to  $E_{\text{int}}$  and  $\alpha$ . The harmonic vari-  
856 ation of Young's modulus in Eq. 16 is included  
857 in cases 3 and 4. Case 3 assumes that the wave-  
858 length of the variation is identical in two spatial  
859 directions ( $\lambda_{y_1} = \lambda_{y_2} = 200\mu\text{m}$ ). In case 4, the  
860 harmonic wavelengths are assumed to be different:  
861  $\lambda_{y_1} = 200\mu\text{m}$ ,  $\lambda_{y_2} = 300\mu\text{m}$ .

862 The characterization results and error values  
863 are displayed in Table 2. The error in the Pois-  
864 son's ratio is almost negligible in all cases. This  
865 is because the Poisson's ratio is only related to  
866 the ratio of normal strains at two directions, and  
the overall vertical strain is relatively fixed since



**Fig. 9:** (a) The estimated resin Young's modulus variation (gray lines) vs. true distribution (black line), and the histogram of integration points number in the resin phase for various distances from the nearest fiber  $l$ , (b) the contour of relative error of the resin Young's modulus:  $(\hat{E}_m(\mathbf{y}) - E_m(\mathbf{y}))/E_m(\mathbf{y})$  over the central inner  $100\mu\text{m} \times 100\mu\text{m}$  region for one of the estimation, based on the measurement 1 mm specimen loaded 20 times.



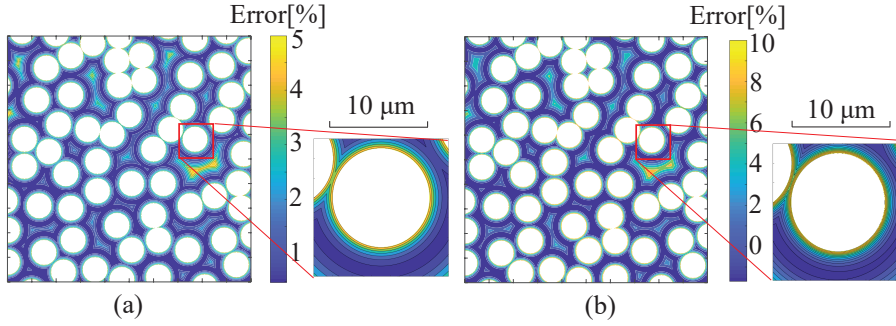
**Fig. 10:** The mean value (marker) and standard deviation (whisker) of the relative error of (a)  $E_{\text{int}}$ , (b)  $\alpha$ , and (c) maximum Young's modulus within the distribution, for characterizations of a  $500\mu\text{m}$  specimen with 15%, 30%, 42%, and 55% fiber volume fraction loaded 1, 2, 5, 10, and 20 times with the highest noise level of  $\sigma_\epsilon = 0.1\mu\text{m}$ .

867 the specimen is under constant displacement-  
 868 controlled compressive loading. For modulus char-  
 869 acterization, case 2 results indicate that the cur-  
 870 rent characterization approach identifies the neat  
 871 resin properties with good accuracy in addition  
 872 to the interface modulus and the spatial variation  
 873 parameter. In cases 3 and 4, the characterization  
 874 is performed for 6 and 7 parameters, respec-  
 875 tively. The characterizations for large-wavelength  
 876 harmonic variation are accurate for both the  
 877 amplitude,  $A$ , and the wavelengths  $(\lambda_{y1}, \lambda_{y2})$ ,  
 878 while the accuracy for fine-scale exponential vari-  
 879 ation is lower compared to the cases 1 and 2.

880 The lower accuracy is attributed to the increased  
 881 difficulty in finding the global minimum in a  
 882 relatively high dimensional space using a gradi-  
 883 ent based optimization approach, for modulus prop-  
 884 erties varying at two spatial scales. Approaches  
 885 to improve accuracy in this case may include increas-  
 886 ing the number of optimization starting points,  
 887 at the expense of additional computation time,  
 888 investigating strategies to increase the accuracy in  
 889 the Jacobian matrix calculation and revisiting the  
 890 selection of tolerance for termination of the opti-  
 891 mization. As shown in Fig. 11, the larger relative

**Table 2:** Reference parameters of epoxy resin properties and the SQP characterization results

		$E_{\text{int}}$ [GPa]	$\alpha$	$\bar{E}_m$ [GPa]	$A$ [GPa]	$\lambda_{y1}$ [ $\mu\text{m}$ ]	$\lambda_{y2}$ [ $\mu\text{m}$ ]	$\nu_m$
	$\hat{\theta}$	7.5426	-0.23465	/	/	/	/	0.34
Case 1	SQP	7.49	-0.217	/	/	/	/	0.3399
	Error	-0.68%	-7%	/	/	/	/	< 0.1%
	$\hat{\theta}$	7.5426	-0.23465	5.06	/	/	/	0.34
Case 2	SQP	7.49	-0.22	5.04	/	/	/	0.3399
	Error	-0.7%	-5%	-0.32%	/	/	/	< 0.1%
	$\hat{\theta}$	7.5426	-0.23465	5.06	2	200	/	0.34
Case 3	SQP	7.88	-0.392	5.65	2.03	200	/	0.339
	Error	4.48%	-69%	11%	1.67%	< 0.1%	/	< 0.1%
	$\hat{\theta}$	7.5426	-0.23465	5.06	2	200	300	0.34
Case 4	SQP	8.228	-0.6	5.86	2.02	200	300	0.339
	Error	9%	-155%	15.8%	1.09%	< 0.1%	< 0.1%	< 0.1%

**Fig. 11:** The contours of the relative error of the resin modulus over the central  $100\mu\text{m} \times 100\mu\text{m}$  region within the  $500\mu\text{m}$  specimen, as well as refined contours for one of the fibers and its surrounding, obtained for the harmonic variation characterization of (a)  $\theta = \{E_{\text{int}}, \alpha, \bar{E}_m, \nu_m, A, \lambda_{y1}\}$ , (b)  $\theta = \{E_{\text{int}}, \alpha, \bar{E}_m, \nu_m, A, \lambda_{y1}, \lambda_{y2}\}$ 

892 errors of resin modulus are at the fiber-resin inter-  
893 face and larger resin pockets. The largest value is  
894 very similar to the error of interface modulus  $E_{\text{int}}$ .  
895 The larger error in  $\alpha$  is attributed to the dominat-  
896 ing effect of the large-scale harmonic variation of  
897 modulus over the exponential fine-scale variation  
898 which results in displacement measurements that  
899 are less sensitive to  $\alpha$ .

## 900 4 Conclusion

901 This manuscript developed an inverse charac-  
902 terization approach for identifying spatially het-  
903 erogeneous in-situ elastic properties of compos-  
904 ite materials based on microscopic image-based  
905 experimental measurements. Particular attention  
906 is given to the effect of random noise polluting  
907 the input data. To ensure the inverse charac-  
908 terization problem was formulated such that the  
909 correct solution can be obtained despite the pres-  
910 ence of measurement noise, concepts of statistical



inference theory were used to analyze the objective function and the forward problem and guide their formulation. This analysis suggests that the true material properties can be identified provided a sufficient number of measurement points are obtained (i.e., the inverse problem is estimation consistent). The estimation consistency of the approach is further examined and documented through several virtual characterizations. The virtual characterizations use numerically generated data, named synthetic data, in lieu of experimental data. The synthetic data consists of noisy fiber centroid displacements (measurement points), mimicking the measurements obtained with fiber template matching extracted from simulations performed with known (true) material properties. In the virtual characterizations, the synthetic data is used to determine the parameters of assumed functional forms defining the spatial variation of the properties of the material, which can be compared to the true material properties. The results show that the effect of measurement noise is progressively reduced by increasing the number of measurement points, which can be achieved by increasing the specimen size (and hence the number of fibers tracked) or by performing multiple experiments on a single specimen, or multiple specimens, as long as they exhibit a similar spatial variation in properties. Furthermore, characterization accuracy could also be improved by specimen design, where the specimen domain is tailored to include factors (e.g., resin pockets, functional gradients of fiber volume fraction, etc.) that provide sufficient sampling to identify the parameters for describing the spatial variation of the properties, such as resin modulus. We note that such an approach could be restricted by manufacturing constraints. Using SQP, a larger set of parameters, representing variability at different scales, was identified suggesting that the proposed inverse modeling framework can be further generalized if required. The accuracy of the identified parameters in such cases are naturally influenced by the efficacy of the optimization tool and the size and richness of the dataset used.

Future work may include the study of the model error (or bias) which can be caused by numerical error or the image noise with non-zero mean value. These are considered outside the scope of this initial study. In this context, a Bayesian approach to the problem may be

worth pursuing as it could enable one to quantify uncertainty in the parameter evaluation while considering the effect of both measurement noise and model error. Given the relatively large number of model evaluations typically required in a Bayesian framework, such an approach may also require the use (and development) of a micro-scale surrogate model.

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## Appendix A The conditions of objective function minimization with noise

Assume that the objective function  $\mathcal{L}_n$  in Eq. 7 under noise is minimized at  $\hat{\boldsymbol{\theta}} = \{\hat{\theta}_1, \hat{\theta}_2 \dots \hat{\theta}_m\}$  and it is satisfied when  $\partial\mathcal{L}/\partial\boldsymbol{\theta} = \mathbf{0}$ . Using the chain rule, the following equation can be derived by incorporating the noise term in the measurements (see Eq. 6):

$$\left. \frac{\partial \mathbf{u}^{\text{sim}}}{\partial \boldsymbol{\theta}} \right|_{\hat{\boldsymbol{\theta}}} \cdot (\mathbf{u}^{\text{sim}} - \mathbf{u} - \boldsymbol{\epsilon}) = 0 \quad (\text{A1})$$

Consider the following three conditions:

1.  $\left. \partial \mathbf{u}^{\text{sim}} / \partial \boldsymbol{\theta} \right|_{\hat{\boldsymbol{\theta}}} = \mathbf{0}$ . According to mean value theorem [36], there exists different parameter vectors  $\boldsymbol{\theta}_a = \{\theta_{a1}, \theta_{a2} \dots \theta_{am}\}$  and  $\boldsymbol{\theta}_b = \{\theta_{b1}, \theta_{b2} \dots \theta_{bm}\}$  satisfying  $\hat{\theta}_1 \in (\theta_{a1}, \theta_{b1})$ ,  $\hat{\theta}_2 \in (\theta_{a2}, \theta_{b2}) \dots \hat{\theta}_m \in (\theta_{am}, \theta_{bm})$ , such that:

$$\|\mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_a) - \mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_b)\| \leq \left\| \left. \frac{\partial \mathbf{u}^{\text{sim}}}{\partial \boldsymbol{\theta}} \right|_{\hat{\boldsymbol{\theta}}} \right\| \|\boldsymbol{\theta}_a - \boldsymbol{\theta}_b\| = 0 \quad (\text{A2})$$

Then we can obtain  $\mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_1) = \mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_2)$  since  $\|\cdot\| \geq 0$ . In this case, the identifiability condition (i.e.  $\mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_1) = \mathbf{u}^{\text{sim}}(\boldsymbol{\theta}_2)$  only if  $\boldsymbol{\theta}_1 = \boldsymbol{\theta}_2$ ) is not satisfied. The detailed discussion about the identifiability condition is provided in Appendix C.

999 2.  $\mathbf{u}^{\text{sim}} - \mathbf{u} - \boldsymbol{\epsilon} = \mathbf{u}^{\text{sim}} - \mathbf{u}^{\text{mes}} = \mathbf{0}$ . Typically,  
1000 this condition is not satisfied, because  $\mathbf{u}^{\text{sim}}$  follows  
1001 the equilibrium equation, whereas,  $\mathbf{u}^{\text{mes}}$  does not  
1002 satisfy equilibrium due to the presence of noise  
1003 term.

1004 3.  $\partial \mathbf{u}^{\text{sim}} / \partial \boldsymbol{\theta} \neq \mathbf{0}$ ,  $\mathbf{u}^{\text{sim}} - \mathbf{u} - \boldsymbol{\epsilon} \neq \mathbf{0}$ . If we assume  
1005 that the objective function is minimized at the  
1006 true displacement  $\mathbf{u}$  (i.e.,  $\mathbf{u} = \mathbf{u}^{\text{sim}}$ ), there is:

$$\frac{\partial \mathbf{u}^{\text{sim}}}{\partial \boldsymbol{\theta}} \Big|_{\boldsymbol{\theta}} \cdot \boldsymbol{\epsilon} = 0 \quad (\text{A3})$$

1007 Equation A3 is not necessarily satisfied as  $\boldsymbol{\epsilon}$   
1008 is a random variable. In this case, the true dis-  
1009 placements do not minimize the objective function  
1010 with noise, therefore the parameter vector  $\hat{\boldsymbol{\theta}}$  iden-  
1011 tified by the optimization does not contain the  
1012 true parameters.

## 1013 Appendix B Strict convexity 1014 of the forward 1015 problem: subset 1016 of 1017 displacements 1018 vs. full 1019 displacement 1020 field

1021 Using the finite element method, the potential  
1022 energy in terms of a nodal displacement vector  
1023  $\mathbf{U}$ , the stiffness matrix  $\mathbf{K}$  and force vector  $\mathbf{F}$  is  
1024 expressed as [39]:

$$\Pi_p = \frac{1}{2} \mathbf{U}^T \mathbf{K} \mathbf{U} - \mathbf{U}^T \mathbf{F} \quad (\text{B4})$$

1025 Consider that  $\mathbf{u}_{\text{sim}}$  consists of a subset of the  
1026 nodal displacements and they do not overlapped  
1027 with the boundaries with enforced displacements.  
1028 Aggregating the displacement at the measurement  
1029 points, Eq. B4 can be rewritten as:

$$\begin{aligned} \Pi_p = \frac{1}{2} [(\mathbf{u}_{\text{sim}})^T, (\mathbf{u}_{\text{r}})^T] \begin{bmatrix} \mathbf{K}_{\text{ss}} & \mathbf{K}_{\text{sr}} \\ \mathbf{K}_{\text{rs}} & \mathbf{K}_{\text{rr}} \end{bmatrix} \begin{bmatrix} \mathbf{u}_{\text{sim}} \\ \mathbf{u}_{\text{r}} \end{bmatrix} \\ - [(\mathbf{F}_{\text{s}})^T, (\mathbf{F}_{\text{r}})^T] \begin{bmatrix} \mathbf{u}_{\text{sim}} \\ \mathbf{u}_{\text{r}} \end{bmatrix} \end{aligned} \quad (\text{B5})$$

1030 where,  $\mathbf{u}_{\text{r}}$  collects the nodal displacements at loca-  
1031 tions other than the measurement points,  $\mathbf{K}_{\text{ss}}$ ,

$\mathbf{K}_{\text{sr}}$ ,  $\mathbf{K}_{\text{rs}}$  and  $\mathbf{K}_{\text{rr}}$  are stiffness submatrices,  $\mathbf{F}_{\text{s}}$  and  
1032  $\mathbf{F}_{\text{r}}$  are force subvectors after reordering. 1033

Let  $\mathbf{U}^* = [\mathbf{u}_{\text{sim}}^*, \mathbf{u}_{\text{r}}^*]^T$  denote a nodal displace-  
1034 ment vector. The principle of minimum energy  
1035  $\partial \Pi_p / \partial \mathbf{U} |_{\mathbf{U}=\mathbf{U}^*} = 0$  results in: 1036

$$\mathbf{K}_{\text{ss}} \mathbf{u}_{\text{sim}}^* + \mathbf{K}_{\text{sr}} \mathbf{u}_{\text{r}}^* = \mathbf{F}_{\text{s}} \quad (\text{B6})$$

$$\mathbf{K}_{\text{rs}} \mathbf{u}_{\text{sim}}^* + \mathbf{K}_{\text{rr}} \mathbf{u}_{\text{r}}^* = \mathbf{F}_{\text{r}} \quad (\text{B7})$$

Consider another state of nodal displacements  
1037  $\mathbf{U}^{**} = [\mathbf{u}_{\text{sim}}^{**}, \mathbf{u}_{\text{r}}^{**}]^T$ , in which the nodal dis-  
1038 placements at the measurement points  $\mathbf{u}_{\text{sim}}^{**}$  are  
1039 different from  $\mathbf{u}_{\text{sim}}^*$ . The strict convexity of poten-  
1040 tial energy with respect to the subset of nodal  
1041 displacement  $\mathbf{u}_{\text{sim}}$  leads to the following inequal-  
1042 ity [8]: 1043

$$\Pi_p(\mathbf{U}^{**}) - \Pi_p(\mathbf{U}^*) - \frac{\partial \Pi_p}{\partial \mathbf{u}_{\text{sim}}} \Delta \mathbf{u}_{\text{sim}} > 0 \quad (\text{B8})$$

where  $\Delta \mathbf{u}_{\text{sim}} = \mathbf{u}_{\text{sim}}^{**} - \mathbf{u}_{\text{sim}}^*$ . Substituting Eqs. B5  
1044 and B6 in Eq. B8 results in: 1045

$$[\Delta \mathbf{u}_{\text{sim}}]^T [\mathbf{K}_{\text{ss}}] [\Delta \mathbf{u}_{\text{sim}}] > 0 \quad (\text{B9})$$

Therefore, strict convexity is satisfied only if  $[\mathbf{K}_{\text{ss}}]$   
1046 is positive definite. It is well-known that the  
1047 stiffness matrix  $[\mathbf{K}]$  is already positive definite  
1048 according to the energy minimization principal.  
1049 For arbitrary non-zero vector  $\mathbf{x} \in \mathbb{R}^N \setminus \{\mathbf{0}\}$ , there  
1050 is  $\mathbf{x}^T \mathbf{K} \mathbf{x} > 0$ .  $[\mathbf{K}_{\text{ss}}]$  can be proved to be positive  
1051 definite by assuming another arbitrary non-zero  
1052 vector  $\mathbf{v} \in \mathbb{R}^n \setminus \{\mathbf{0}\}$  and  $\mathbf{x}^* = [\mathbf{v}; \mathbf{0}]$ , which holds: 1053

$$\begin{aligned} \mathbf{x}^{*T} \mathbf{K} \mathbf{x}^* &= [\mathbf{v}^T, \mathbf{0}^T] \begin{bmatrix} \mathbf{K}_{\text{ss}} & \mathbf{K}_{\text{sr}} \\ \mathbf{K}_{\text{rs}} & \mathbf{K}_{\text{rr}} \end{bmatrix} \begin{bmatrix} \mathbf{v} \\ \mathbf{0} \end{bmatrix} \\ &= [\mathbf{v}^T] [\mathbf{K}_{\text{ss}}] [\mathbf{v}] > 0 \end{aligned} \quad (\text{B10})$$

## 1054 Appendix C Discussion of 1055 identifiability 1056 condition in 1D 1057 composite 1058 specimen

Consider a composite bar of length  $L$  (See Fig. C1)  
1059 under the displacement loading  $U$ . There are  $n$   
1060 “fibers” with length  $r$  and Young’s modulus of  $E_f$ .  
1061 The “matrix” Young’s modulus  $E_m$  is assumed to  
1062 vary spatially with the distance ( $l$ ) from the near-  
1063 est fiber interface. The measured fiber centroid  
1064

1065 displacements  $u_{(i)}, i = 1, 2, \dots, n$  are considered  
 1066 to be the inputs of the optimization problem  
 1067 that aims to characterize the parameter  $\theta$ , which  
 1068 defines the spatial variation of the resin modulus.  
 1069 It is straightforward to obtain an analytical expres-  
 1070 sion for the fiber centroid displacements  $u_{(i)}, i =$   
 1071  $1, 2, \dots, n$ :

$$u_{(i)} = \frac{\left[ \sum_{j=0}^{i-1} \bar{C}_{m_j} l_j E_f + (2i-1)r \right] U}{\sum_{j=0}^{n+1} \bar{C}_{m_j} l_j E_f + 2nr} \quad (\text{C11})$$

1072 where  $\bar{C}_{m_i}$  stands for the average compliance of  
 1073 the resin part between  $i-1^{\text{th}}$  and  $i^{\text{th}}$  fiber,  $\bar{C}_{m_0}$   
 1074 for the resin part between left specimen boundary  
 1075 and the leftmost fiber,  $\bar{C}_{m_{n+1}}$  for the resin part  
 1076 between right boundary and rightmost fiber. In  
 1077 terms of resin length,  $l_i$ , the average compliance is  
 1078 expressed as:

$$\bar{C}_{m_i} = \begin{cases} \frac{1}{l_i} \int_0^{l_i} \frac{dx}{E_m(l; \theta)}; & i = 0, n \\ \frac{2}{l_i} \int_0^{l_i/2} \frac{dx}{E_m(l; \theta)}; & i = 1, 2, \dots, n-1 \end{cases} \quad (\text{C12})$$

1079 Let us assume that the same displacement  
 1080 measurements ( $\hat{u}_{(i)}, i = 1, 2, \dots, n$ ) can be obtained  
 1081 with a different set of constitutive parameters,  $\hat{\theta}$ :

$$\hat{u}_{(i)} = \frac{\left[ \sum_{j=0}^{i-1} \hat{C}_{m_j} l_j E_f + (2i-1)r \right] U}{\sum_{j=0}^{n+1} \hat{C}_{m_j} l_j E_f + 2nr} \quad (\text{C13})$$

1082 in which the average compliance matrices obtained  
 1083 using the constitutive parameters  $\hat{\theta}$  are denoted  
 1084 by  $\hat{C}_{m_i}$ . Equating Eq. C13 to Eq. C11, there is:

$$\bar{C}_{m_1} l_1 - 2\bar{C}_{m_0} l_0 = \hat{C}_{m_1} l_1 - 2\hat{C}_{m_0} l_0 \quad (\text{C14})$$

$$\bar{C}_{m_{(i+1)}} l_{i+1} - \bar{C}_{m_i} l_i = \hat{C}_{m_{(i+1)}} l_{i+1} - 2\hat{C}_{m_i} l_i \quad (\text{C15})$$

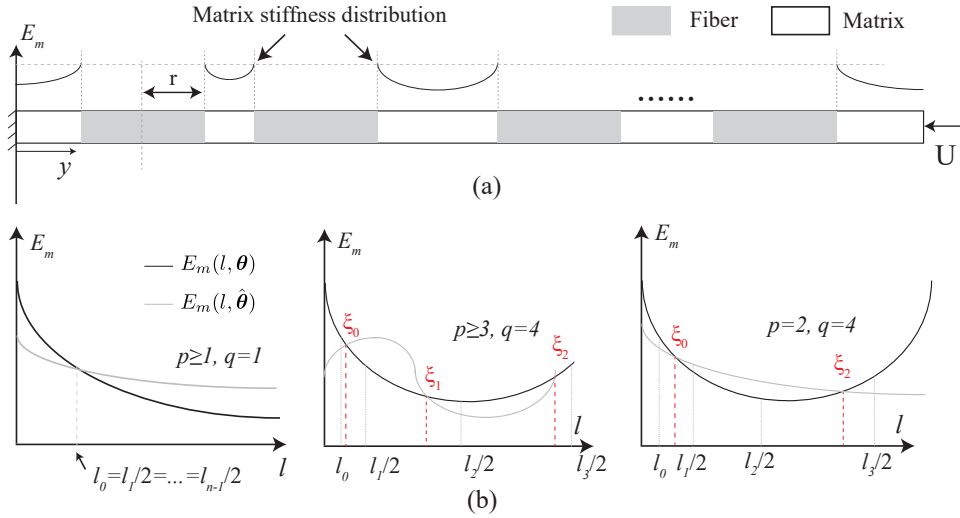
1085 According to the Cauchy mean value theorem,  
 1086 there exist  $\xi_0 \in [\min\{l_0, l_1/2\}, \max\{l_0, l_1/2\}]$ ,  
 1087  $\xi_1 \in [\min\{l_1/2, l_2/2\}, \max\{l_1/2, l_2/2\}], \dots, \xi_{n-1} \in$   
 1088  $[\min\{l_{n-1}/2, l_n/2\}, \max\{l_{n-1}/2, l_n/2\}]$  which  
 1089 transforms Eq. C14, C15 into:

$$E_m(\xi_i; \theta) = E_m(\xi_i; \hat{\theta}) \quad (\text{C16})$$

The identifiability condition is not held if  
 Eq. C16 is satisfied (with  $\hat{\theta} \neq \theta$ ) within the  
 interval of  $\xi_0 \in [\min\{l_0, l_1/2\}, \max\{l_0, l_1/2\}]$ ,  
 $\xi_i \in [\min\{l_i/2, l_{i+1}/2\}, \max\{l_i/2, l_{i+1}/2\}], i =$   
 $1, 2, \dots, n-1$ . If  $l_i = l_{i+1}, i = 1, 2, \dots, n-1$ , Eq. C16  
 is unconditionally satisfied, indicating that the  
 identifiability condition is not held for the speci-  
 men with uniform resin length (indicated in left  
 figure in Fig. C1b). If  $l_i \neq l_{i+1}, i = 1, 2, \dots, n-1$ ,  
 there exist diverse sizes of resin parts and  
 the satisfaction of Eq. C16 depends on the existence  
 of intersection points between  $E_m(y; \theta)$  and  
 $E_m(\xi_i; \hat{\theta})$ . Assume that the number of the resin  
 parts with unique lengths within the specimen is  
 $q$  and the maximum number of intersection points  
 between  $E_m(\xi_i; \theta)$  and  $E_m(\xi_i; \hat{\theta})$  is  $p$ . If  $p \geq q-1$ ,  
 Eq. C16 is then satisfied at all the intervals and the  
 identifiability condition is not held (shown in mid-  
 dle figure in Fig. C1b). If  $p < q-1$ , Eq. C16 cannot  
 be satisfied at some intervals (e.g.,  $[l_1/2, l_2/2]$  in  
 the right figure of Fig. C1b) and the identifiability  
 condition is satisfied.

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**Fig. C1:** (a) Schematic illustration of 1D composite specimens. (b) The three cases for the specimen with uniform resin length (left), with diverse resin length and  $p \geq q - 1$  (middle),  $p < q - 1$  (right). The identifiability condition is not satisfied for the left and middle cases.

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