

# Equivalence relation of group actions and Kesten's criteria for topological groups

Kate Juschenko

*University of Texas in Austin*

## Borel equivalence relations

### Definition

Let  $(X, \mu)$  be a standard probability space. We say that an equivalence relation  $R$  on  $X$  is a countable Borel equivalence relation on  $X$  if  $R$  is a Borel subset of  $X \times X$  and equivalence classes of  $R$  are countable.

### Definition

Let  $R$  be a countable Borel equivalence relation on a standard probability space  $(X, \mu)$ . The full group  $[R]$  is defined as the group of all Borel automorphisms  $\phi \in \text{Aut}(X, \mu)$  such that  $\text{graph}(\phi) \subseteq R$  on a subset of full measure.

$\mu$  is **invariant (respectively, quasi-invariant)** if  $\mu$  (respectively, equivalence class of  $\mu$ ) is preserved under the action of  $[R]$  on  $(X, \mu)$ . A quasi-invariant probability measure  $\mu$  is called  **$R$ -ergodic** if every  $R$ -invariant Borel set is either null or co-null.

## Liouville measures

Let a countable discrete group  $G$  act on a set  $X$ . A probability measure on  $G$  is called *non-degenerate* if its support generates  $G$  as a semigroup. Let  $\mu$  be a symmetric non-degenerate probability measure on  $G$ . A function  $f : X \rightarrow R$  is called

**$\mu$ -harmonic**, if the equality

$$f(x) = \sum_{g \in G} f(gx)\mu(g)$$

holds for every  $x \in X$ . An action is called  **$\mu$ -Liouville** if every bounded  $\mu$ -harmonic function is constant. We will say the action  $G \curvearrowright X$  is **Liouville**, if it is  $\mu$ -Liouville for some symmetric non-degenerate probability measure  $\mu$  on  $G$ .

## Theorem (Kaimanovich-Vershik)

*A discrete group  $G$  is amenable if and only if the left multiplication action of  $G$  on itself is Liouville.*

Generalization to locally compact second countable groups was obtained by Rosenblatt, and second-countable topological groups by Schneider and Thom.

If the left multiplication action of  $G$  on itself is  $\mu$ -Liouville, then any transitive action of  $G$  is also  $\mu$ -Liouville.

## Theorem (Chaudkhari, J, Schneider, '22)

*Assume that  $R$  is a countable Borel equivalence relation on  $(X, \mu)$  such that  $\mu$  is  $R$ -quasi-invariant and non-atomic. If  $G$  is a countable dense subgroup of  $[R]$ , then the following statements are equivalent:*

- 1.  $R$  is  $\mu$ -amenable.*
- 2. There exists a symmetric non-degenerate measure  $\nu$  on  $G$ , such that the action of  $G$  on almost every orbit in  $X$  is  $\nu$ -Liouville.*

## Theorem (Kesten, '59)

*Let  $\Gamma$  be a finitely generated discrete group and let  $\mu$  be a finitely supported symmetric generating measure on  $\Gamma$ . Let  $\rho$  be the spectral radius of the  $\mu$ -random walk on  $\Gamma$ . Then  $\Gamma$  is amenable if and only if  $\rho = 1$ .*

Schneider and Thom: Følner criterion and Kaimanovich-Vershik theorem for topological groups.

What assumptions on topological group would guarantee that  $\limsup_{n \rightarrow \infty} \mathbb{P}(X_n \in U)^{1/n} = 1$ ?

## Theorem

*For a topological group  $G$  the following properties are equivalent.*

- 1.  $G$  is amenable.*
- 2. Every continuous affine action of  $G$  on a non-empty compact subset of a locally convex topological vector space has a fixed point.*
- 3. For every non-empty compact space  $X$  and any action of  $G$  on  $X$  there exists a  $G$ -invariant Borel probability measure on  $X$ .*

A topological group  $G$  has **small invariant neighborhoods** (or  $G$  is a SIN group) if for every neighborhood  $U \in \mathcal{U}(G)$  we have

$$\bigcap_{g \in G} gUg^{-1} \in \mathcal{U}(G)$$

**Theorem (Chaudkhari, J, Schneider, '22)**

*Assume that  $G$  is a Hausdorff, amenable and SIN group, and  $\nu$  is a symmetric probability measure with at most countable support on  $G$ . Then, for any neighborhood  $U$  of the identity, a lazy  $\nu$ -random walk  $X_n$  started at the identity satisfies*

$$\lim_{n \rightarrow \infty} \mathbb{P}(X_n \in U^n)^{1/n} = 1$$



$G$  is SIN implies  $U$  is invariant under conjugation and  $U = U^{-1}$ .

Schenider, Thom '17: there exists  $\alpha : G \rightarrow \text{Sym}(G)$  such that the action of the group generated by  $\alpha(G)$  is amenable, and for any  $g, h \in G$  there exists  $u(g, h) \in U$  such that  $\alpha(g)(h) = u(g, h)gh$ .

Notice that invariance of  $U$  under taking inverses and conjugation implies that in this case for any  $g, h \in G$ , there exists  $u'(g, h) \in U$  such that  $\alpha(g)^{-1}(h) = u'(g, h)g^{-1}h$ .

Let  $S = \text{supp}(\nu)$ . Let  $\Gamma$  be the subgroup of  $\text{Sym}(G)$  generated by  $\alpha(S)$ . Consider a symmetric random walk on  $G$  induced by the random walk on  $\Gamma$  defined by the probability measure supported on  $\alpha(S)$  (treated as a multiset) which assigns to the elements of this multiset the weights equal to the  $\nu$ -weights of corresponding elements of  $S$ . Denote the resulting probability measure on the multiset  $\alpha(S)$  by  $\nu'$ , and for  $s \in S \cup S^{-1}$  we denote by  $\alpha_s$  the element  $\alpha(s)$  if  $s \in S$  or the element  $\alpha(s^{-1})^{-1}$  if  $s \in S^{-1}$ .

If for some tuple  $(s_n, \dots, s_1) \in (S \cup S^{-1})^n$  and some  $x \in G$  one has

$$\alpha_{s_n} \circ \alpha_{s_{n-1}} \circ \dots \circ \alpha_{s_1}(x) = x,$$

we can conclude that there are  $u_1, \dots, u_n \in U$  such that

$$u_n s_n u_{n-1} s_{n-1} \dots u_1 s_1 = id_G,$$

which implies that

$$X_n = s_n s_{n-1} \dots s_1 = \prod_{i=1}^n (u_i^{-1})^{s_{i+1} \dots s_n} \in U^n.$$

Thus, the invariance of  $U$  under taking inverses and conjugation implies that

$$\sup_{x \in G} \mathbb{P}_{(\nu')^n}(x, x) \leq \mathbb{P}_{\nu^n}(X_n \in U^n).$$

Since the action of  $\Gamma$  on  $G$  admits an invariant mean, for any  $\epsilon > 0$  and any finite subset  $E$  of  $\Gamma$ ,  $G$  admits an  $(E, \epsilon)$ -Følner set. Such a set can always be selected from the same orbit of the action of  $\Gamma$  on  $G$ . Therefore, the infimum of isoperimetric constants of  $\nu'$ -random walks on  $\Gamma$ -orbits on  $G$  is equal to 0.

Mohar's isoperimetric inequality implies that the supremum of the spectral radii of  $\nu'$ -random walks on  $\Gamma$ -orbits on  $G$  is equal to 1, hence  $\sup_{x \in G} \mathbb{P}_{(\nu')^n}(x, x)$  decays subexponentially, and

$$\lim_{n \rightarrow \infty} \mathbb{P}(X_n \in U^n)^{1/n} = 1.$$

**Bad news: the reverse does not hold.**

A topological group  $G$  is called **bounded** if, for every neighborhood  $U$  of  $e \in G$ , there exist a finite subset  $F \subseteq G$  and a natural number  $n$  such that  $G = FU^n$ .

It is well known, that  $G$  is bounded if and only if every right-uniformly continuous real-valued function on  $G$  is bounded.

We will say that  $G$  is **power-bounded** if, for every neighborhood  $U$  of  $e \in G$ , there exists a natural number  $n$  such that  $G = U^n$ .

Let  $X$  be a compact Hausdorff space with a regular Borel probability measure  $\mu$ . A map  $f: X \rightarrow Y$  into a topological space  $Y$  is called  $\mu$ -almost continuous if, for every  $\epsilon > 0$ , there exists a closed subset  $A \subseteq X$  with  $\mu(X \setminus A) \leq \epsilon$  such that  $f|_A: A \rightarrow Y$  is continuous. If the target space is metrizable, then  $\mu$ -almost continuity is equivalent to  $\mu$ -measurability.

Consider the Lebesgue probability measure  $\lambda$  on the closed real interval  $[0, 1]$ . Given a topological group  $G$ , we define  $L^0(G)$  to be the set of all ( $\lambda$ -equivalence classes of)  $\lambda$ -almost continuous maps from  $[0, 1]$  to  $G$ . Equipped with the group structure inherited from  $G$  and the topology of convergence in measure,  $L^0(G)$  is a topological group. The sets of the form

$$N(U, \epsilon) := \{f \in L^0(G) \mid \lambda(\{x \in [0, 1] \mid f(x) \notin U\}) < \epsilon\}$$

$$(\epsilon > 0, U \subseteq G \text{ open with } e \in U)$$

constitute a neighborhood basis at the neutral element of  $L^0(G)$ .

Let  $G$  be a topological group.

- (1) The topological group  $L^0(G)$  is power-bounded. Let  $U$  be any identity neighborhood in  $L^0(G)$ . Then we find some  $n \in \mathbb{N} \setminus \{0\}$  as well as an open identity neighborhood  $V$  in  $G$  such that  $N(V, \frac{1}{n}) \subseteq U$ . We claim that  $L^0(G) = U^n$ . To see this, let  $f \in L^0(G)$ . For each  $i \in \{0, \dots, n-1\}$ , consider the element  $f_i \in L^0(G)$  defined by

$$f_i|_{[i/n, (i+1)/n)} = f|_{[i/n, (i+1)/n)}, \quad f_i|_{[0,1] \setminus [i/n, (i+1)/n)} \equiv e,$$

and note that  $f_i \in N(V, \frac{1}{n})$ . Hence, as desired,

$$f = f_1 \cdot \dots \cdot f_n \in (N(V, \frac{1}{n}))^n \subseteq U^n.$$

- (2) The topological group  $L^0(G)$  is (extremely) amenable if and only if  $G$  is amenable (Pestov, Schneider, 2017).
- (3) If  $G$  is Polish, then so is  $L^0(G)$  due to (Moore, '76). Since  $G$  is topologically isomorphic to a closed subgroup of  $L^0(G)$ , the converse holds as well.
- (4) It is straightforward to verify that  $L^0(G)$  is SIN if and only if  $G$  is SIN.

**Conclusion:**  $L^0(F_2)$  is a power-bounded, non-amenable, SIN, Polish group. Hence, the condition

$$\lim_{n \rightarrow \infty} \mathbb{P}(X_n \in U^n)^{1/n} = 1$$

does not imply amenability of a topological group.



## Good news: characterizes amenability for locally compact groups

If  $G$  is an amenable locally compact group and  $\lambda$  is its left Haar measure, and  $\nu$  is a symmetric measure with countable support with  $\nu(id) \geq 1/2$ , then the norm of the Markov operator  $M_\nu$  on  $L^2(G, \lambda)$  is equal to 1, and it is equal to the

$$\limsup_{n \rightarrow \infty} (\nu^n(V))^{1/n}$$

for any compact neighborhood  $V$  of the identity. On the other hand, since non-amenability of a locally compact group is witnessed by its compactly generated subgroups, we have that a non-amenable locally compact group fails the condition (Quint's Lecture notes).

### Lampighter action in the measurable settings.

Define measure  $M_l$  on the subsets of  $R$  as follows. For any Borel  $A \subset R$

$$M_l(A) = \int_X |A_x| d\mu(x), \text{ where } A_x = \{y \in X : (x, y) \in A\}.$$

The sets  $A$  with  $M_l(A) < \infty$  form a group  $C$  with respect to the symmetric difference operation.  $C$  is equipped with a distance derived from the distance between indicator functions in  $L^1(R, M_l)$ . Now take  $[R]$  (or any  $G$  which is dense in  $[R]$ ) and consider its action on  $X \times X$  defined by

$$g(x, y) = (x, gy).$$

This construction induces an action of  $[R]$  on  $C$  by isometries. This action defines  $C \rtimes [R]$  as a topological group.

## Proposition

*Assume that  $R$  is an ergodic amenable countable Borel equivalence relation on a non-atomic standard probability space  $(X, \mu)$ . Let  $[R]$  be endowed with the uniform topology, and  $C$  with the topology induced by the distance in  $L^1(R, M_I)$ . Then the following statements are true.*

- 1.  $C \times [R]$  with the product topology is a topological group.*
- 2.  $C \times [R]$  with the product topology is amenable.*
- 3.  $C \times [R]$  does not have SIN property*

## Applications to inverted orbits.

### Definition

Let  $G$  be a discrete group acting on a set  $X$ . For a sequence  $h = \{h_1, h_2, \dots, h_n\}$  of elements of  $G$  (one may think of its elements as of the increments defining the trajectory of a random walk) and a point  $x \in X$  an inverted orbit of  $x$  under  $h$  is the set  $\{x, h_n x, h_n h_{n-1} x, \dots, h_n h_{n-1} \dots h_1 x\}$ . We will sometimes use the notation  $O_h(x)$  for the inverted orbit of a point  $x$  under the action of  $h$ .

## Theorem

*If  $R$  is amenable, then an affirmative answer to Topological Kesten for  $C(G) \rtimes G$  or  $C \rtimes [R]$  implies that*

$$\int_X \mathbb{P}(|O_n(x)| \leq \epsilon n) d\mu(x)$$

*decays subexponentially for each  $\epsilon > 0$ .*

Thank you!