# Non-escape of mass for automorphic forms in hyperbolic 4-manifolds

#### Alexandre de Faveri (joint work with Zvi Shem-Tov)

Stanford University

Shanks Conference Series

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#### QUE conjecture (Rudnick and Sarnak, 1994)

The probability measures  $\mu_i = |\phi_i|^2 d \operatorname{vol}_X$  converge in the weak-\* topology to  $d \operatorname{vol}_X$ .

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#### Theorem (Lindenstrauss, 2006)

Every weak-\* limiting measure of the sequence  $\mu_i = |\phi_i|^2 d \operatorname{vol}_X$  is of the form  $c \cdot d \operatorname{vol}_X$  for some  $c \in [0, 1]$ .

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#### Theorem (Soundararajan, 2010)

We have c = 1, so AQUE holds for  $\Gamma \setminus \mathbb{H}_2$ .

• Using the upper half-space model, the isometry group of  $\mathbb{H}_n$  can be identified with a certain group  $SV_{n-2}(\mathbb{R})$  of  $(2 \times 2)$ -matrices.

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- Non-escape of mass for X<sub>3</sub> ≃ SL<sub>2</sub>(ℤ[i])\SL<sub>2</sub>(ℂ)/SU(2) was proved by Zaman (2012), and AQUE by Shem-Tov and Silberman (2022).

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- $\bullet~$  Let  ${\bf H}$  denote the Hamilton quaternions. Then

$$SV_2(\mathbb{Z}) \simeq \left\{ g \in M_2(\mathbf{H}(\mathbb{Z})) : gJg^{*t} = J \right\}, \qquad J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

Here  $(a_0 + a_1i + a_2j + a_3k)^* = a_0 + a_1i + a_2j - a_3k$ .

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• On  $X_4 = SV_2(\mathbb{Z}) \setminus \mathbb{H}_4$ : no Watson-Ichino, violations to Ramanujan.

#### Theorem (F. and Shem-Tov, 2024)

Let  $X_4 = SV_2(\mathbb{Z}) \setminus \mathbb{H}_4$  and  $\phi_i \in L^2(X)$  be a sequence of Hecke-Maass forms with unit norm. Suppose the probability measures  $\mu_i = |\phi_i|^2 d \operatorname{vol}_{X_4}$ converge in the weak-\* topology. Then the limit is a probability measure.

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- AQUE for  $X_4$  essentially reduces to ruling out measure concentration on orbits of  $SL_2(\mathbb{C})$  inside  $SV_2(\mathbb{R})$ .

## Non-escape of mass for $SL_2(\mathbb{Z})$

• A Hecke-Maass cusp form  $\phi$  on  $SL_2(\mathbb{Z}) \setminus \mathbb{H}_2$  has a Fourier expansion

$$\phi(x+iy) = \sqrt{y} \sum_{0 \neq n \in \mathbb{Z}} a(n) K_{ir}(2\pi |n|y) e(nx).$$

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• Let  $\lambda(m)$  denote the eigenvalue of  $\phi$  for  $T_m$ . For each prime p,

$$\lambda(m)a(n) = \sum_{d|(m,n)} a\left(\frac{mn}{d^2}\right),$$
$$\lambda(p)a(n) = a(np) + a(n/p),$$
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#### Theorem (Soundararajan, 2010)

For any  $1 \leq y \leq x$ ,

$$\sum_{n \le \frac{x}{y}} |a(n)|^2 \le 10^8 \left(\frac{1 + \log y}{\sqrt{y}}\right) \sum_{n \le x} |a(n)|^2.$$

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• Normalize so that  $\|\phi\|_2 = 1$ . Fourier-expanding

$$I_T(\phi) := \int_T^\infty \int_0^1 |\phi(x+iy)|^2 \frac{dx\,dy}{y^2}$$

with  $T \ge 1$ ,

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Therefore

$$I_T(\phi) \le 10^8 \left(\frac{1 + \log T}{\sqrt{T}}\right) I_1(\phi) \le 10^8 \left(\frac{1 + \log T}{\sqrt{T}}\right).$$

• A Hecke-Maass cusp form  $\phi$  on  $SV_2(\mathbb{Z}) \backslash \mathbb{H}_4$  has a Fourier expansion

$$\phi(x_1, x_2, x_3, y) = y^{3/2} \sum_{0 \neq \beta \in \mathbb{Z}^3} A(\beta) K_{ir}(2\pi |\beta| y) e(\langle \beta, x \rangle).$$

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#### Theorem (F. and Shem-Tov, 2024)

There exist absolute constants C and R such that for any  $1 \le y \le x$ ,

$$\sum_{\beta|^2 \le \frac{x}{y}} |A(\beta)|^2 \le C \frac{(1 + \log y)^R}{y^{1/8}} \sum_{|\beta|^2 \le x} |A(\beta)|^2.$$



$$s(z):=\sum_{n\leq z}|a(n)|^2\qquad\text{and}\qquad\lambda(m)a(n)=\sum_{d\mid(m,n)}a\left(\frac{mn}{d^2}\right).$$

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• Since  $\lambda(p)^2 = \lambda(p^2) + 1$ , can choose a large set  $\mathcal{P}$  of primes  $p \asymp \sqrt{y}$  such that  $|\lambda(p)|^2$  or  $|\lambda(p^2)|^2$  localizes around some value  $L \gg 1$ .

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- Let  $\mathcal{M}(K) := \{ n \in \mathbb{N} : \text{there are} < K \text{ primes } p \in \mathcal{P} \text{ such that } p \mid n \}.$

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- Let  $\mathcal{M}(K) := \{ n \in \mathbb{N} : \text{there are} < K \text{ primes } p \in \mathcal{P} \text{ such that } p \mid n \}.$
- Break the sum s(x/y) into two parts  $s^{\leq K}(x/y)$  and  $s^{\geq K}(x/y)$  depending on whether  $n \in \mathcal{M}(K)$  or not.

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$$\mathcal{E} := \sum_{\substack{n \leq z \\ \#\{p \asymp \sqrt{y} \ : \ p \mid n\} < K}} |a(n)|^2 \cdot \left(\sum_{\substack{p \asymp \sqrt{y} \\ p \nmid n}} |\lambda(p)|^2\right).$$

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• Multiplying out, each term a(np) in  $\mathcal{E}$  has multiplicity at most K, and is contained in  $s^{\leq K+1}\left(\frac{px}{y}\right)$ , so  $\mathcal{E} \ll K \cdot s^{\leq K+1}\left(\frac{x}{\sqrt{y}}\right)$ .

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• This succeeds if  $K \ll Ly^{1/4}$ .

• For the elements a(n) in  $s^{\geq K}(x/y)$ , n is a multiple of some product  $d = p_1 \cdots p_K$  of K primes  $p_i \asymp \sqrt{y}$ . There are  $\approx \binom{\sqrt{y}}{K}$  options for  $d \approx (\sqrt{y})^K$ , and each one contributes

$$\sum_{m \le \frac{x}{yd}} |a(dm)|^2 \approx |\lambda(d)|^2 \cdot s\left(\frac{x}{yd}\right) \approx L^K \cdot s\left(\frac{x}{y(\sqrt{y})^K}\right)$$

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• Fix x and use induction for the shorter sum  $s(x/y(\sqrt{y})^K)$ , leading to

$$s^{\geq K} \begin{pmatrix} x \\ y \end{pmatrix} \ll \begin{pmatrix} \sqrt{y} \\ K \end{pmatrix} L^K \cdot s \begin{pmatrix} x \\ y(\sqrt{y})^K \end{pmatrix} \ll \left(\frac{10\sqrt{y}L}{Ky^{1/4}}\right)^K \frac{s(x)}{\sqrt{y}}.$$

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- The case when one must use the  $\lambda(p^2)$  is similar.

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•  $\max_{\ell} |\lambda_{\ell}(p)|^2 \gg 1$ , so there will be some  $\ell$  and a large set  $\mathcal{P}$  of primes  $p \asymp y^{1/8}$  such that  $|\lambda_{\ell}(p)|^2 \asymp L \gg 1$ .

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- Break the sum

$$S(x/y) := \sum_{|\beta|^2 \le \frac{x}{y}} |A(\beta)|^2$$

into two parts  $S^{< K}(x/y)$  and  $S^{\geq K}(x/y)$  depending on whether  $n \in \mathcal{M}(K)$  or not.

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$$\frac{1}{p}\sum_{|\beta|^2\leq z} \left|\sum_{|\alpha|^2=p} A\left(\frac{\alpha\beta\overline{\alpha}}{p}\right)\right|^2 \ll \sum_{|\delta|^2\leq z} \left(\frac{m_1(\delta)}{p} + m_2(\delta)\right) |A(\delta)|^2 \ll S(z).$$

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Cauchy-Schwarz is a bad move here due to the  $A(\alpha\beta\overline{\alpha})$ . Instead, observe

$$\lambda_2(p)A(\beta) \approx \lambda_1(p)A(p\beta) - A(p^2\beta) - A(\beta),$$
  
$$\lambda_2(p)A(\beta) \approx \lambda_2(p)A(p^2\beta) + \lambda_1(p)A(p^3\beta) - A(p^4\beta) - A(p^2\beta).$$

# The proof for $SV_2(\mathbb{Z})$ : endgame

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$$g(y) \ll \sum_{n=1}^{4} g\left(y^{1-\frac{n}{4}}\right) + e^{-b_n(y)} \cdot g\left(y^{1+b_n(y)}\right)$$

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• One can check that this implies

$$g(y) \le C(1 + \log y)^R$$

for some absolute constants C, R (in general they would depend only on the functions  $b_n$ ).

Thank you!